

# MI-GLSD-M1 -UEM213 : Programming paradigms

## Chapter V: Oriented Objects Paradigm



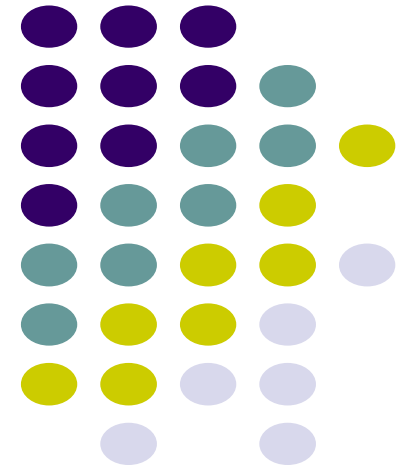
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# This Course Inspiration

- Louv1.2x is the successor to Louv1.1x
  - We assume that you understand the concepts and notation of Louv1.1x (Oz language)
- Louv1.2x continues the story with three topics
  - Data abstraction and state
  - Concurrent programming
  - Programming paradigms

Essential concepts for programs in the real world
- Practical organization
  - 7 lessons, homework exercises, final exam
  - Exercises in **m<sup>oz</sup>art** and graded by  **INGInious**

Intelligent grader!

CorrectOz

# Louv1.2x course organisation for 2018



- Certificate
  - Choose Verified Certificate (with donation) or Audit (no certificate)
- Lessons
  - Seven lessons (6 + 1 bonus); one lesson per week
  - First lesson Nov. 5, seventh (last) lesson Dec. 17
- Weekly exercises (50% of grade)
  - Conceptual exercises (multiple choice + fill in blanks)
  - Programming exercises (using Mozart and INGIInious **with CorrectOz**)
  - One week deadline + two-week grace period
  - **Infinite** number of tries per exercise
- Final exam (50% of grade)
  - Starts Jan. 7, final due date Jan. 21
  - **Two** tries per exercise



# Schedule

- 1. Explicit state and data abstraction
- 2. Object-oriented programming
- 3. Java, multiple inheritance, and exceptions
- 4. Deterministic dataflow introduction
- 5. Deterministic dataflow techniques
- 6. Multiagent dataflow programming (bonus)
- 7. Paradigms of programming redux
- (two-week break for end-of-year festivities)
- Final exam
- End of course



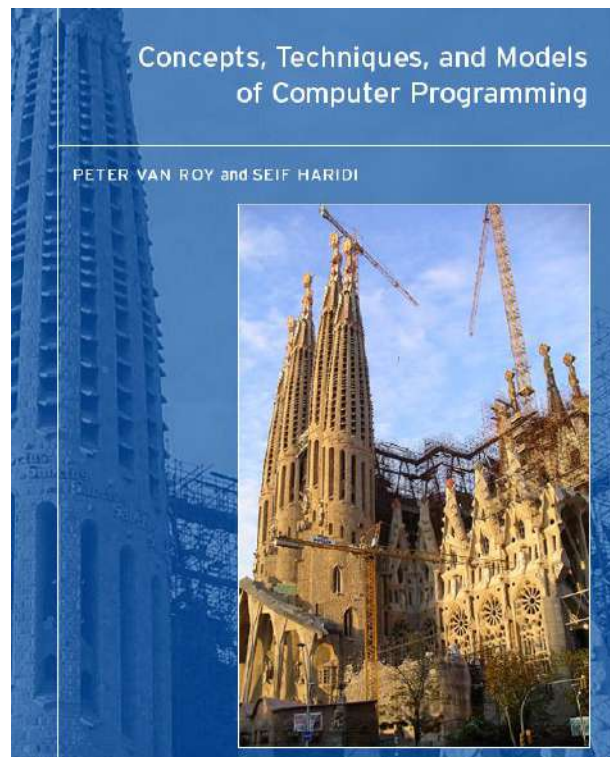
# Another Paradigm

## Explore more



- Lesson 6 is a bonus lesson on **multiagent dataflow programming (a.k.a. actor dataflow)**
  - Multiagent dataflow extends **deterministic dataflow** with the ability to **add nondeterminism exactly where needed**
  - Multiagent dataflow is the best all round paradigm for concurrent programming that we know
- Lesson 6 will be given by Seif Haridi
  - Seif Haridi is professor at the Royal Institute of Technology in Stockholm and chief scientist at the Swedish Institute of Computer Science

# Course textbook and handouts



- “Concepts, Techniques, and Models of Computer Programming” by Peter Van Roy and Seif Haridi, MIT Press
  - Same book for Louv1.1x and Louv1.2x
  - Each course sees 25% of the book
- MIT Press has made available part of the book for the course
  - Chapters 1-3
- This is complemented by slides and the last public draft of the book
  - Important for abstraction and concurrency

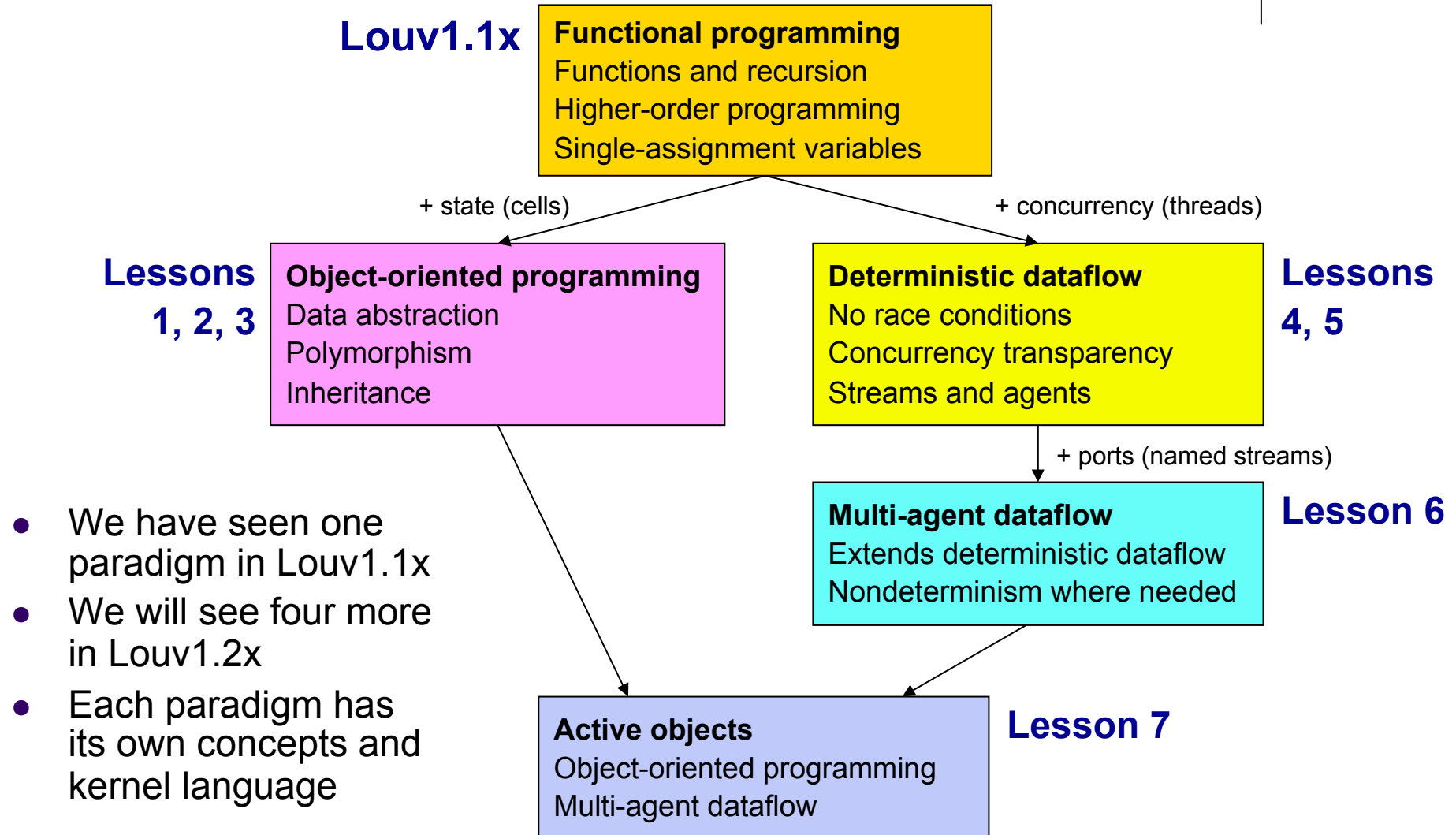
# Abstraction and concurrency



- Essential concepts for building large programs that are part of the real world
- **Data abstraction** is the main organizing principle for building complex software systems
  - The real world is complex
- **Explicit state** allows to model change in a program
  - The real world has change
  - Explicit state supports data abstraction
- **Concurrency** is a property of systems that are made of activities that progress independently
  - The real world has independent activities
- **Deterministic dataflow** is a form of concurrency that always gives the same outputs for the same inputs



# Paradigms of Louv1.1x and Louv1.2x







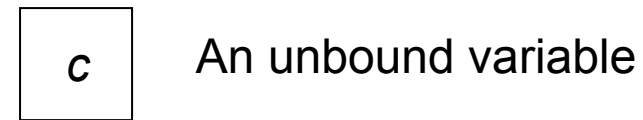
# Let's start

- This first lesson will introduce explicit state and data abstraction
  - You will understand exactly what explicit state **adds to functional programming**
  - You will see the **four fundamentally different ways** of building data abstractions
    - Objects as seen in Java or C++ are just one way
- This leads to our second paradigm, namely **object-oriented programming**

# Adding explicit state to the language



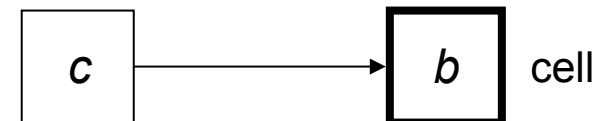
- We can make the state explicit by **extending the language**
- With this extension a program can **directly observe** the sequence of values in time
  - This was not possible in the functional paradigm
- We call our extension a **cell**
  - The word “cell” is chosen to avoid confusion with related terms, such as the overused word “variable”
- A cell is a **box** with a **content**
  - The content can be changed but the box remains the same
  - The same cell can have different contents: we can observe change
  - The sequence of contents is a state



Creating a cell with initial content  $a (=5)$



Replace the content by another variable  $b (=6)$





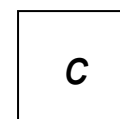
# A cell

- A cell is a box with **an identity** and **a content**
  - The identity is a constant  
(the “name” or “address” of the cell)
  - The content is a variable  
(in the single-assignment store)
- The content can be replaced by another variable

A=5

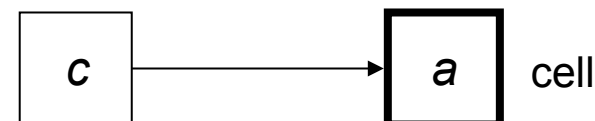
B=6

```
C={NewCell A} % Create a cell
{Browse @C}    % Display content
C:=B           % Change content
{Browse @C}    % Display content
```

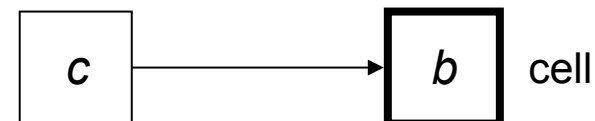


An unbound variable

Creating a cell with initial content *a* (=5)



Replace the content by another variable *b* (=6)



# Adding cells to the kernel language

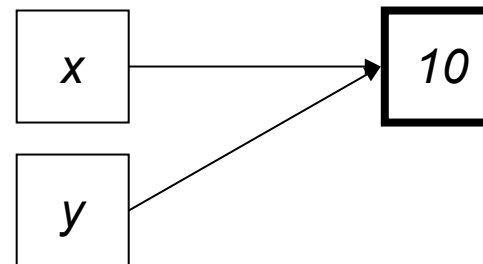
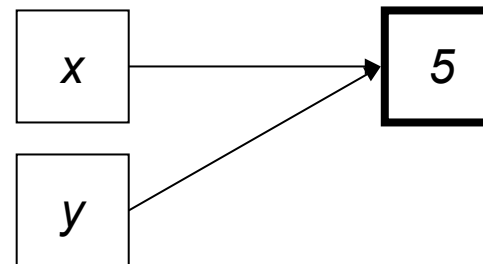


- We add cells and their operations
  - Cells have three operations
- $C = \{\text{NewCell } A\}$ 
  - Create a new cell with initial content A
  - Bind C to the cell's identity
- $C := B$ 
  - Check that C is bound to a cell's identity
  - Replace the cell's content by B
- $Z = @C$ 
  - Check that C is bound to a cell's identity
  - Bind Z to the cell's content



# Some examples (1)

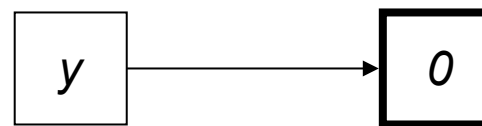
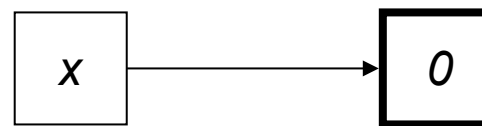
- $X = \{\text{NewCell } 0\}$
- $X := 5$
- $Y = X$
- $Y := 10$
- $@X == 10$  % true
- $X == Y$  % true





## Some examples (2)

- $X = \{\text{NewCell } 0\}$
- $Y = \{\text{NewCell } 0\}$
- $X == Y$       % **false**
- Because X and Y refer to different cells, with different identities
- $@X == @Y$     % **true**
- Because the contents of X and Y are the same value





# Time and change

- In the functional paradigm, **there is no notion of time**
  - All functions are mathematical functions; once defined they never change
  - Programs do execute on a real machine, but a program cannot observe the execution of another program or of part of itself
    - It can only see the results of a function call, not the execution itself
    - Observing an execution of a program can only be done outside of the program's implementation
- In the real world, **there is time and change**
  - Organisms change their behavior over time, they grow and learn
  - How can we model this in a program?
- We need to add **time** to a program
  - Time is a complicated concept! Let us start with a simplified version of time, an **abstract time**, that keeps the essential property that we need: **modeling change**.



# State as an abstract time (1)

- Here's one solution: We define the abstract time as a sequence of values and we call it a state
- A **state** is a sequence of values calculated progressively, which contains the intermediate results of a computation
- The functional paradigm can use state according to this definition!
- The definition of Sum given here has a state

```
fun {Sum Xs A}  
  case Xs  
  of nil then A  
  [] X|Xr then  
    {Sum Xr A+X}  
  end  
end
```

```
{Browse {Sum [1 2 3 4] 0}}
```





# State as an abstract time (2)

- The two arguments Xs and A give us an **implicit state**

Xs	A
[1 2 3 4]	0
[2 3 4]	1
[3 4]	3
[4]	6
nil	10

- It is **implicit** because the language has not changed
  - It is purely in the programmer's head: the programmer observes the changes in the program
- In most cases this is not good enough: **we want the program itself to observe the changes**
  - We need a language extension!
  - We leave the functional paradigm and enter another paradigm*

```

fun {Sum Xs A}
  case Xs
  of nil then A
  [] X|Xr then
    {Sum Xr A+X}
  end
end
    
```

```
{Browse {Sum [1 2 3 4] 0}}
```



# Structure equality and token equality

- Two **lists** are equal if their values are equal (structure equality)
  - Two structures with same values created separately are equal
  - `A=[1 2]`  
`B=[1 2]`  
`{Browse A==B}`                      % **true**
- Two **cells** are equal if they are the same cell (token equality)
  - Two cells created separately are always different
  - `C={NewCell [1 2]}`  
`D={NewCell [1 2]}`  
`{Browse C==D}`                      % **false**  
`{Browse @C==@D}`                  % **true** (Since the contents are lists, they are compared with structure equality)



# Semantics of cells (1)

- We have extended the kernel language with cells
  - Let us now extend the abstract machine to explain how cells execute
- There are now **two stores** in the abstract machine:
  - **Single-assignment store** (contains **variables**: immutable store)
  - **Multiple-assignment store** (contains **cells**: mutable store)
- A cell is a **pair** of two variables
  - The first variable is bound to the name of the cell (a constant)
  - The second variable is the cell's content
- Assigning a cell to a new content
  - **The pair is changed**: the second variable in the pair is replaced by another variable (the first variable stays the same)



**Warning: The variables do *not* change!** The single-assignment store is unchanged when a cell is assigned.



## Semantics of cells (2)

- The full store  $\sigma = \sigma_1 \cup \sigma_2$  has two parts:
  - Single-assignment store (contains **variables**)  
 $\sigma_1 = \{t, u, v, x=\xi, y=\zeta, z=10, w=5\}$
  - Multiple-assignment store (contains **pairs**)  
 $\sigma_2 = \{x:t, y:w\}$
- In  $\sigma_2$  there are two cells,  $x$  and  $y$ 
  - The name of  $x$  is the constant  $\xi$ , the name of  $y$  is  $\zeta$
  - The operation  $X:=Z$  changes  $x:t$  into  $x:z$
  - The operation  $@Y$  returns the variable  $w$   
(assuming the environment  $\{X \rightarrow x, Y \rightarrow y, Z \rightarrow z, W \rightarrow w\}$ )



# Imperative paradigm

- By adding cells, we have **left the functional paradigm** and **entered the imperative paradigm**
  - Imperative paradigm = functional paradigm + cells
- The imperative paradigm allows programs to express and observe growth and change
  - This gives new ways of thinking that were not possible in the functional paradigm
- The imperative paradigm is the foundation of object-oriented programming (OOP)
  - OOP has new ways of structuring programs that are essential for building large systems

# Kernel language of the imperative paradigm



- $\langle s \rangle ::=$  **skip**
  - |  $\langle s \rangle_1 \langle s \rangle_2$
  - | **local**  $\langle x \rangle$  **in**  $\langle s \rangle$  **end**
  - |  $\langle x \rangle_1 = \langle x \rangle_2$
  - |  $\langle x \rangle = \langle v \rangle$
  - | **if**  $\langle x \rangle$  **then**  $\langle s \rangle_1$  **else**  $\langle s \rangle_2$  **end**
  - |  $\{ \langle x \rangle \langle y \rangle_1 \dots \langle y \rangle_n \}$
  - | **case**  $\langle x \rangle$  **of**  $\langle p \rangle$  **then**  $\langle s \rangle_1$  **else**  $\langle s \rangle_2$  **end**
  - | **{NewCell**  $\langle y \rangle \langle x \rangle$  **}**
  - |  $\langle x \rangle := \langle y \rangle$
  - |  $\langle y \rangle = @ \langle x \rangle$
- $\langle v \rangle ::= \langle \text{number} \rangle \mid \langle \text{procedure} \rangle \mid \langle \text{record} \rangle$
- $\langle \text{number} \rangle ::= \langle \text{int} \rangle \mid \langle \text{float} \rangle$
- $\langle \text{procedure} \rangle ::=$  **proc**  $\{ \$ \langle x \rangle_1 \dots \langle x \rangle_n \}$   $\langle s \rangle$  **end**
- $\langle \text{record} \rangle, \langle p \rangle ::= \langle \text{lit} \rangle \mid \langle \text{lit} \rangle (\langle f \rangle_1 : \langle x \rangle_1 \dots \langle f \rangle_n : \langle x \rangle_n)$

# Kernel language of the imperative paradigm



- $\langle s \rangle ::=$ 
  - skip**
  - $\langle s \rangle_1 \langle s \rangle_2$
  - local**  $\langle x \rangle$  **in**  $\langle s \rangle$  **end**
  - $\langle x \rangle_1 = \langle x \rangle_2$
  - $\langle x \rangle = \langle v \rangle$
  - if**  $\langle x \rangle$  **then**  $\langle s \rangle_1$  **else**  $\langle s \rangle_2$  **end**
  - $\{ \langle x \rangle \langle y \rangle_1 \dots \langle y \rangle_n \}$
  - case**  $\langle x \rangle$  **of**  $\langle p \rangle$  **then**  $\langle s \rangle_1$  **else**  $\langle s \rangle_2$  **end**
  - NewCell**  $\langle y \rangle \langle x \rangle$
  - Exchange**  $\langle x \rangle \langle y \rangle \langle z \rangle$
- $\langle v \rangle ::= \langle \text{number} \rangle \mid \langle \text{procedure} \rangle \mid \langle \text{record} \rangle$
- $\langle \text{number} \rangle ::= \langle \text{int} \rangle \mid \langle \text{float} \rangle$
- $\langle \text{procedure} \rangle ::= \text{proc } \{ \$ \langle x \rangle_1 \dots \langle x \rangle_n \} \langle s \rangle \text{ end}$
- $\langle \text{record} \rangle, \langle p \rangle ::= \langle \text{lit} \rangle \mid \langle \text{lit} \rangle (\langle f \rangle_1 : \langle x \rangle_1 \dots \langle f \rangle_n : \langle x \rangle_n)$

## Second version

Both versions are equally expressive (since Exchange can be expressed with @ and := and vice versa), but the second version is more convenient for concurrent programming

$\langle y \rangle = @ \langle x \rangle$  and  $\langle x \rangle := \langle z \rangle$   
(atomically : as one operation)

# Explicit state is useful for modularity



- Before looking at data abstraction and object-oriented programming, let's take a closer look at what explicit state is good for
- We say that a program (or system) is **modular** with respect to a given part if that part can be changed without changing the rest of the program
  - “part” = function, procedure, component, module, class, library, package, file, ...
- We will show by means of an example that the use of explicit state allows us to make a program modular
  - This is not possible in the functional paradigm





# A scenario (1)

- Once upon a time there were three developers, P, U1, and U2
- P has developed module M that implements two functions F and G
- U1 and U2 are both happy users of module M

```
fun {MF} % Module definition
    fun {F ...}
        <Definition of F>
    end
    fun {G ...}
        <Definition of G>
    end
in 'export'(f:F g:G)
end

M = {MF} % Module instantiation
```



## A scenario (2)

- One day, developer U2 writes an application that runs slowly because it does too much computation
- U2 would like to extend M to count the number of times F is called by the application
- U2 asks P to make this extension, but to keep it modular so that no programs have to be changed to use it

```
fun {MF}  
  fun {F ...}  
    <Definition of F>  
  end  
  fun {G ...}  
    <Definition of G>  
  end  
in 'export'(f:F g:G)  
end  
M = {MF}
```



# Oops!

- This is **impossible** in the functional paradigm, because  $F$  does not remember what happened in previous calls: **it cannot count its calls**
  - The only solution is to change the interface of  $F$  by adding two arguments,  $F_{in}$  and  $F_{out}$ :  
**fun** { $F \dots F_{in} F_{out}$ }  $F_{out} = F_{in} + 1 \dots$  **end**
  - The rest of the program has to make sure that the  $F_{out}$  of each call to  $F$  is passed as  $F_{in}$  to the next call of  $F$
- This means that  $M$ 's interface has changed
- **All  $M$ 's users**, even  $U1$ , have to change their programs
  - $U1$  is especially unhappy, since it makes a lot of extra work for nothing



# Solution using a cell

- Create a cell when MF is called and increment it inside F
  - Because of static scope, the cell is hidden from the rest of the program: **it is only visible inside M**
- M's interface is extended without changing existing calls
  - M.f stays the same
  - A new function M.c appears that can safely be ignored
- P, U1, and U2 live happily ever after

```
fun {MF}  
  X = {NewCell 0}  
  fun {F ...}  
    X:=@X+1  
    <Definition of F>  
  end  
  fun {G ...}  
    <Definition of G>  
  end  
  fun {Count} @X end  
in 'export'(f:F g:G c:Count)  
end  
M = {MF}
```



# Comparison

- **Functional paradigm:**
  - + A component never changes its behavior (if it is correct, it stays correct)
  - – Updating a component often means that its interface changes and therefore many other components must be updated
- **Imperative paradigm:**
  - + A component can be updated without changing its interface and so without changing the rest of the program (modularity)
  - – A component can change its behavior because of past calls (for example, it might break)
- Sometimes it is possible to combine both advantages
  - Use explicit state to manage updates, but make sure that the behavior of components does not change



# Data abstraction

- Data abstraction is the main organizing principle for building complex software systems
  - Without data abstraction, computing technology would stop dead in its tracks
- We will study what data abstraction is and how it is supported by the programming language
  - The first step toward data abstraction is called encapsulation
  - Data abstraction is supported by language concepts such as higher-order programming, static scoping, and explicit state



# Encapsulation

- The first step toward data abstraction, which is the basic organizing principle for large programs, is **encapsulation**
- Assume your television set is not enclosed in a box
  - All the interior circuitry is exposed to the outside
  - It's lighter and takes up less space, so it's good, right? NO!
- It's **dangerous for you**: if you touch the circuitry, you can get an electric shock
- It's **bad for the television set**: if you spill a cup of coffee inside it, you can provoke a short-circuit
  - If you like electronics, you may be tempted to tweak the insides, to "improve" the television's performance
- So it can be a good idea to put the television in an enclosing box
  - A box that protects the television against damage and that only authorizes proper interaction (on/off, channel selection, volume)



# Encapsulation in a program

- Assume your program uses a stack with the following implementation:

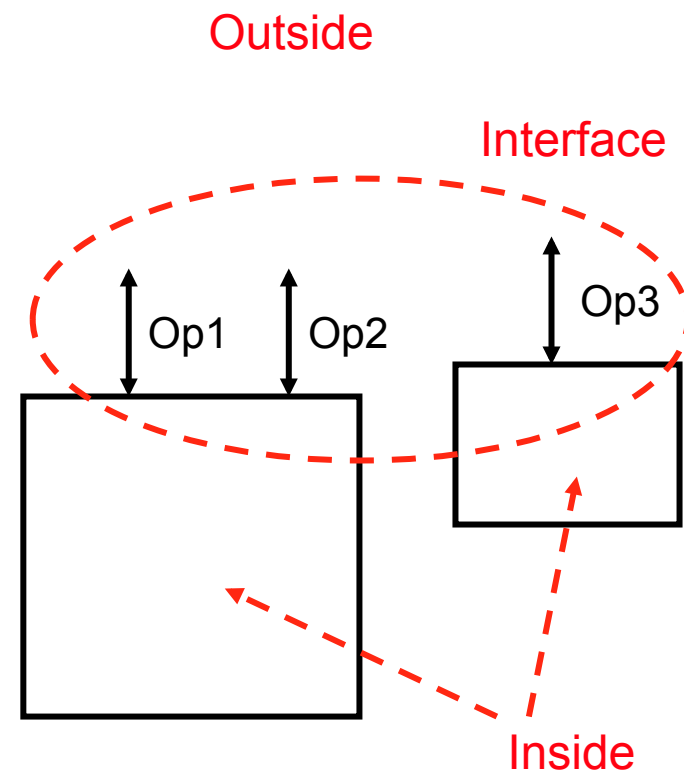
```
fun {NewStack} nil end
fun {Push S X} X|S end
fun {Pop S X} X=S.1 S.2 end
fun {IsEmpty S} S==nil end
```
- This implementation is not encapsulated!
  - It has the same problems as a television set without enclosure
  - It is implemented using lists that are not protected
    - A user can read stack values without the implementation knowing
    - A user can create stack values outside of the implementation
- There is no way to guarantee that an unencapsulated stack will work correctly
  - The stack must be encapsulated → data abstraction



# Definition of data abstraction



- A data abstraction is a part of a program that has an **inside**, an **outside**, and an **interface** in between
- The **inside** is hidden from the outside
  - All operations on the inside must pass through the interface, i.e., the data abstraction must use **encapsulation**
- The **interface** is a set of operations that can be used according to certain rules
  - Correct use of the rules guarantees that the results are correct
- The **encapsulation** must be supported by the programming language
  - We will see how the language can support encapsulation, that is, how it can enforce the separation between inside and outside



# Advantages of data abstraction

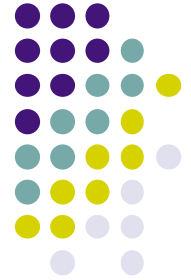


- A **guarantee** that the abstraction will work correctly
  - The interface only allows well-defined interaction with the inside
- A **reduction of complexity**
  - The user does not have to know the implementation, but only the interface, which is generally much simpler
  - A program can be partitioned into many independent abstractions, which greatly simplifies use
- The development of **large programs** becomes possible
  - Each abstraction has a **responsible developer**: the person who implements it, maintains it, and guarantees its behavior
  - Each responsible developer only has to **know the interfaces** of the abstractions used by the abstraction
  - It's possible for **teams of developers** to develop large programs

# The two main kinds of data abstraction



- There are two main kinds of data abstraction, namely **objects** and **abstract data types**
  - An object **groups together value and operations** in a single entity
  - An abstract data type **keeps values and operations separate**
- Some real world examples
  - **A television set is an object**: it can be used directly through its interface (on/off, channel selection, volume control)
  - **Coin-operated vending machines are abstract data types**: the coins and products are the values and the operations are the vending machines
- We will look at both objects and ADTs
  - Each has its own advantages and disadvantages



# Abstract data types

- An ADT consists of a set of values and a set of operations
- A common example: integers
  - Values: 1, 2, 3, ...
  - Operations: +, -, \*, div, ...
- In most of the popular uses of ADTs, the values and operations have no state
  - The values are **constants**
  - The operations have **no internal memory** (they don't remember anything in between calls)



# A stack ADT

- We can implement a stack as an ADT:
  - Values: all possible stacks and elements
  - Operations: NewStack, Push, Pop, IsEmpty
- The operations take (zero or more) stacks and elements as input and return (zero or more) stacks and elements as output
  - $S = \{\text{NewStack}\}$
  - $S2 = \{\text{Push } S \ X\}$
  - $S2 = \{\text{Pop } S \ X\}$
  - $\{\text{IsEmpty } S\}$
- For example:
  - $S = \{\text{Push } \{\text{Push } \{\text{NewStack}\} \ a\} \ b\}$  returns the stack  $S = [b \ a]$
  - $S2 = \{\text{Pop } S \ X\}$  returns the stack  $S2 = [a]$  and the top  $X = b$

# Unencapsulated implementation



- The stack we saw before is **almost** an ADT:
  - **fun** {NewStack} nil **end**
  - **fun** {Push S X} X|S **end**
  - **fun** {Pop S X} X=S.1 S.2 **end**
  - **fun** {IsEmpty S} S==nil **end**
- Here the stack is represented by a list
- But this is **not a data abstraction**, since the list is **not protected**
- How can we protect the list, and make this a true ADT?
  - How can we build an abstract data type with encapsulation?
  - We need a way to protect values

# Encapsulation using a secure wrapper



- To protect the values, we will use a **secure wrapper**:
  - The two functions Wrap and Unwrap will “wrap” and “unwrap” a value
  - $W = \{\text{Wrap } X\}$       % Given X, returns a protected version W
  - $X = \{\text{Unwrap } W\}$       % Given W, returns the original value X
- The simplest way to understand this is to consider that Wrap and Unwrap do **encryption and decryption using a shared key** that is only known by them
- We need a new Wrap/Unwrap pair for each ADT that we want to protect, so we use a procedure that creates them:
  - $\{\text{NewWrapper Wrap Unwrap}\}$  creates the functions Wrap and Unwrap
  - Each call to NewWrapper creates a pair with a new shared key
- We will not explain here how to implement NewWrapper, but if you are curious you can look in the book (Section 3.7.5)

# Implementing the stack ADT



- Now we can implement a true stack ADT:

```
local Wrap Unwrap in  
  {NewWrapper Wrap Unwrap}  
  
  fun {NewStack} {Wrap nil} end  
  fun {Push W X} {Wrap X|{Unwrap W}} end  
  fun {Pop W X} S={Unwrap W} in X=S.1 {Wrap S.2} end  
  fun {IsEmpty W} {Unwrap W}==nil end  
end
```

- How does this work? Look at the Push function: it first calls {Unwrap W}, which returns a stack value S, then it builds X|S, and finally it calls {Wrap X|S} to return a protected result
- Wrap and Unwrap are hidden from the rest of the program (static scoping)





# Final remarks on ADTs

- ADT languages have a long history
  - The language **CLU**, developed by Barbara Liskov and her students in 1974, is the first
  - This is only a little bit later than the first object-oriented language **Simula 67** in 1967
  - Both CLU and Simula 67 strongly influenced later object-oriented languages up to the present day
- ADT languages support a protection concept similar to Wrap/Unwrap
  - CLU has syntactic support that makes the creation of ADTs very easy
- Many object-oriented languages also support ADTs
  - For example, we will see that Java objects are also ADTs



# Objects

- A single object represents both a value and a set of operations
- **Example interface** of a stack object:

```
S={NewStack}  
{S push(X)}  
{S pop(X)}  
{S isEmpty(B)}
```

- The stack value is stored **inside** the object S
- **Example use** of a stack object:

```
S={NewStack}  
{S push(a)}  
{S push(b)}  
local X in {S pop(X)} {Browse X} end
```

# Implementing the stack object



- Implementation of the stack object:

```
fun {NewStack}
  C={NewCell nil}
  proc {Push X} C:=X|@C end
  proc {Pop X} S=@C in C:=S.2 X=S.1 end
  proc {IsEmpty B} B=(@C==nil) end
in
  proc {$ M}
    case M of push(X) then {Push X}
    [] pop(X) then {Pop X}
    [] isEmpty(B) then {IsEmpty B} end
  end
end
```

- Each call to NewStack creates a **new stack object**
- The object is represented by a **one-argument procedure** that does **procedure dispatching**: a case statement chooses the operation to execute
- Encapsulation is enforced by **hiding the cell with static scoping**



# Stack as ADT and stack as object

- Here is the stack as ADT:

```
local Wrap Unwrap in
  {NewWrapper Wrap Unwrap}
  fun {NewStack} {Wrap nil} end
  fun {Push W X} {Wrap X|{Unwrap W}} end
  fun {Pop W X} S={Unwrap W} in X=S.1 {Wrap S.2} end
  fun {IsEmpty W} {Unwrap W}==nil end
end
```

- Here is the stack as object: (represented by a record)

```
fun {NewStack}
  C={NewCell nil}
  proc {Push X} C:=X|@C end
  proc {Pop X} S=@C in X=S.1 C:=S.2 end
  fun {IsEmpty} @C==nil end
in
  stack(push:Push pop:Pop isEmpty:IsEmpty)
end
```

- Any data abstraction can be implemented as an ADT or as an object

# Final remarks on objects



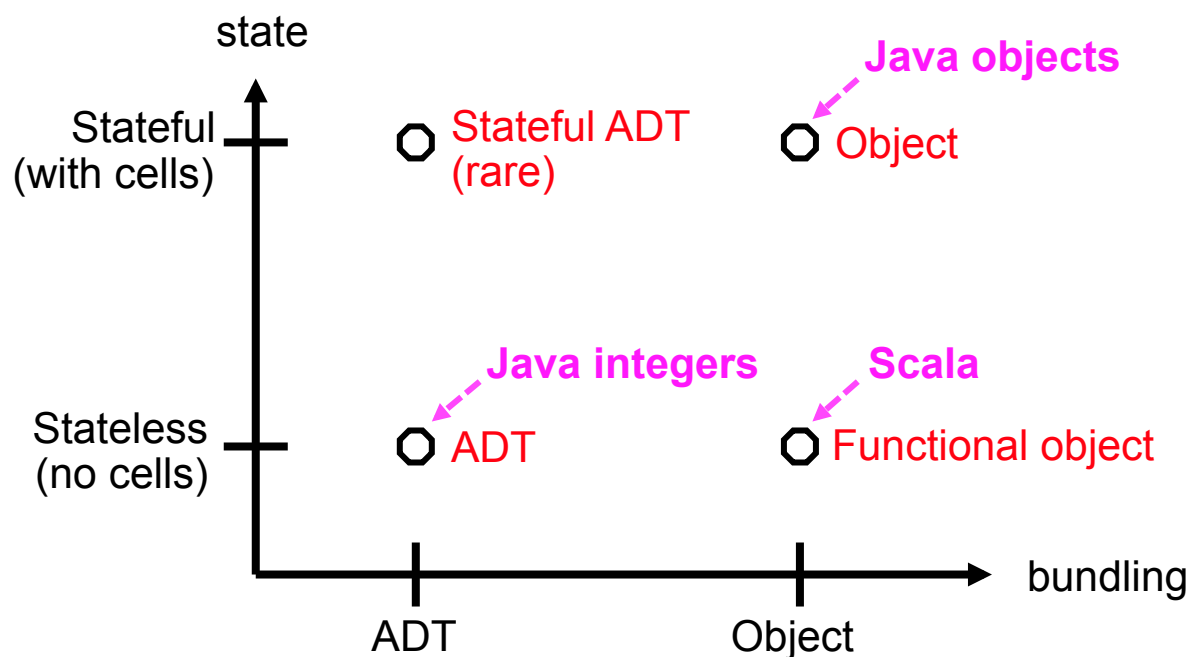
- Objects are omnipresent in computing today
- The first major object-oriented language was **Simula-67**, introduced in 1967
  - It directly influenced **Smalltalk** (starting in 1971) and **C++** (starting in 1979), and through them, most modern object-oriented languages (Java, C#, Python, Ruby, and so forth)
- Most modern OO languages are in fact **data abstraction languages**: they incorporate both objects and ADTs
  - And other data abstraction concepts as well, such as components and modules
- The next lesson will be completely focused on object-oriented programming

# Four ways to do data abstraction



- We have seen two ways to make data abstractions:
  - Abstract data types (without state)
  - Objects (with state)
- There are two more ways to build data abstractions
  - Abstract data types with state (stateful ADTs)
  - Objects without state (functional objects)
- This gives four ways in all
  - Let's take a look at the two additional ways
  - And then we'll conclude this lesson on data abstraction

# Four ways to do data abstraction



- Objects (with state) and ADTs (stateless) are popular
- Functional objects are less popular (except in Scala)
- Stateful ADTs are rarely used

# The two less-used data abstractions



- A **functional object** is possible
  - Functional objects are immutable; invoking an object returns **another object with a new value**
  - Functional objects are becoming more popular because of Scala
- A **stateful ADT** is possible
  - Stateful ADTs were much used in the C language (although without enforced encapsulation, since it is impossible in C)
  - They are also used in other languages (e.g., classes with static attributes in Java)
- Let's take a closer look at how to build them





# A functional object

- We can implement the stack as a functional object:

**local**

**fun** {StackObject S}

**fun** {Push E} {StackObject E|S} **end**

**fun** {Pop S1}

**case** S **of** X|T **then** S1={StackObject T} X **end end**

**fun** {IsEmpty} S==nil **end**

**in** stack(push:Push pop:Pop isEmpty:IsEmpty) **end**

**in**

**fun** {NewStack} {StackObject nil} **end**

**end**

- This uses **no cells** and **no secure wrappers**. It's the simplest of all our data abstractions since it only needs higher-order programming.

# Functional objects in Scala



- Scala is a hybrid functional-object language: it supports both the functional and object-oriented paradigms
- In Scala we can define an immutable object that returns another immutable object
  - For example, a RationalNumber class whose instances are rational numbers (and therefore immutable)
  - Adding two rational numbers returns another rational number
- Immutable objects are functional objects
  - The advantage is that they cannot be changed (the same advantage of any functional data structure)



# A stateful ADT

- Finally, let us implement our trusty stack as a stateful ADT:

```
local Wrap Unwrap
  {NewWrapper Wrap Unwrap}
  {NewStack} {Wrap {NewCell nil}} end
  proc {Push S E} C={Unwrap S} in C:=E|@C end
  fun {Pop S} C={Unwrap S} in
    case @C of X|S1 then C:=S1 X end
  end
  fun {IsEmpty S} @{Unwrap S}==nil end
in
  Stack=stack(new:NewStack push:Push pop:Pop isEmpty:IsEmpty)
end
```

- This uses **both** a cell and a secure wrapper. Note that Push, Pop, and IsEmpty **do not need Wrap!** They modify the stack state by updating the cell *inside* the secure wrapper.



# Conclusion

- Data abstractions are a key concept needed for building large programs with confidence
  - Data abstractions are built on top of higher-order programming, static scoping, explicit state, records, and secret keys
  - Data abstractions are defined **precisely** in terms of these concepts; our definitions give the **semantics of data abstractions**
- There are **four kinds of data abstraction**, along two axes: **objects versus ADTs** on one axis and **stateful versus stateless** on the other
  - Two kinds are more visible than the others, but the others also have their uses (for example, functional objects are used in Scala)
- Modern programming languages strongly support data abstractions
  - They support much more than just objects; it is more correct to consider them **data abstraction languages** and not just object-oriented languages

# Object-oriented programming



- The concept of **object** is omnipresent in programming languages today
  - A simple idea: a data abstraction that contains both value and operations
  - First major system was Simula 67, widely disseminated via Smalltalk et C++
- Caveat: object-oriented programming has become a buzzword
  - There are **many variations, but not always correct** (e.g., many so-called OO languages do not provide proper encapsulation, like C++ and Javascript, or do not properly support inheritance with the substitution principle, like C++)
  - It is **not always the right paradigm**, e.g., Erlang is better for fault tolerance
  - We will try to be **as rigorous as possible**, and focus on the main principles
- OOP provides three main principles for structuring programs:
  - **Data abstraction**: provide guarantees and reduce complexity
  - **Polymorphism**: compartmentalize responsibility
  - **Inheritance**: avoid redundancy and encourage incremental development
- Abstract data types are just as omnipresent!
  - It is important to understand both objects and ADTs, because languages mix the two. For example, a **Java object** is a mix of pure objects and pure ADTs.
  - Advanced object-oriented languages are actually **data abstraction languages**



# An object

**declare**

**local**

A1={NewCell I1}

...

An={NewCell In}

**in**

**proc** {M1 ...} ... **end**

...

**proc** {Mm ...} ... **end**

**end**

This code gives the structure of an object abstraction.

An object is a combination of local cells A1, ..., An and global procedures M1, ..., Mm.

We call A1, ..., An the “**attributes**” and M1, ..., Mm the “**methods**”.

Attributes A1, ..., An are *hidden* from the outside and methods M1, ..., Mm are *visible* from the outside (interface!).



# A counter object

**declare**

**local**

A1={NewCell 0}

**in**

**proc** {Inc} A1:=@A1+1 **end**

**proc** {Get X} X=@A1 **end**

**end**

{Inc}

**local** X **in** {Get X} {Browse X} **end**

This code creates one object that implements a counter.

The object has two methods, Inc and Get, and is initialized to 0.

Since the cell can only be accessed by the methods, the behavior is **guaranteed correct**: {Get X} binds X to an integer that gives the number of calls {Inc} done before.

# Adding abilities to objects in four steps



- Objects in OOP are much more than simple data abstractions: they add important abilities needed for practical programming
- Let us start with an object abstraction and extend it in four steps:
  - **First step**: a single object (data abstraction)
  - **Second step**: a single entry point (dispatch)
  - **Third step**: creating multiple objects (instantiation)
  - **Fourth step**: specialized syntax (classes)



# First step: An object



**declare**

**local**

A1={NewCell I1}

...

An={NewCell In}

**in**

**proc** {M1 ...} ... **end**

...

**proc** {Mm ...} ... **end**

**end**

This code gives the structure of an object abstraction.

An object is a combination of local cells A1, ..., An and global procedures M1, ..., Mm.

We call A1, ..., An the “**attributes**” and M1, ..., Mm the “**methods**”.

Attributes A1, ..., An are *hidden* from the outside and methods M1, ..., Mm are *visible* from the outside (interface!).

# First step: An object



**declare**

**local**

A1={NewCell 0}

**in**

**proc** {Inc} A1:=@A1+1 **end**

**proc** {Get X} X=@A1 **end**

**end**

{Inc}

**local** X **in** {Get X} {Browse X} **end**

This code creates one object that implements a counter.

The object has two methods, Inc and Get, and is initialized to 0.

Since the cell can only be accessed with the methods, the behavior is **guaranteed correct**: {Get X} binds X to an integer that gives the number of calls {Inc} done before.

# Second step: Single entry point



**declare**

**local**

A1={NewCell 0}

**proc** {Inc} A1:=@A1+1 **end**

**proc** {Get X} X=@A1 **end**

**in**

**proc** {Counter M}

**case** M **of** inc **then** {Inc}

**[]** get(X) **then** {Get X}

**end**

**end**

**end**

This extends the counter object to invoke all methods from **a single entry point**: the procedure Counter.

{Counter inc}

{Counter inc}

{Counter get(X)}

In this example, this is called **procedure dispatch**, since the entry point is a procedure. The argument M is usually called a **message**.

# Third step: Creating multiple objects



```
declare
fun {NewCounter}
  A1={NewCell 0}
  proc {Inc} A1:=@A1+1 end
  proc {Get X} X=@A1 end
in
  proc {$ M}
    case M of inc then {Inc}
    [] get(X) then {Get X}
    end
  end
end
```

We add the ability to create many counter objects with the same methods but different states.

The function NewCounter creates a new counter object each time it is called. This is an example of **instantiation** (**higher-order programming**).

The call C={NewCounter} creates a new cell in A1 and returns an object with methods Inc and Get, that both access the new cell.

Each new object is completely independent of the others.



# Using NewCounter

C1={NewCounter} % First object

C2={NewCounter} % Second object

{C1 inc} % Increment first object twice

{C1 inc}

**local** X **in** {C1 get(X)} {Browse X} **end** % Shows 2

**local** X **in** {C2 get(X)} {Browse X} **end** % Shows 0

# Fourth step: Specialized syntax



```
class Counter
  attr a1
  meth init a1:=0 end
  meth inc a1:=@a1+1 end
  meth get(X) X=@a1 end
end
```

```
C1={New Counter init}
{C1 inc}
local X in
  {C1 get(X)} {Browse X}
end
```

We introduce a **new syntax** for defining objects, in which we define attributes and methods.

We call this definition a **class**, since we can use it to define many objects with the same behavior (they are of the same class).

We **separate the object definition** (the class) **from the object creation** (the function New).

The new syntax guarantees that the object is **constructed without error**. It also **improves readability** and lets the system **improve performance**.



# What is a class? (1)

- The class **Counter** that we defined is an argument to the function New:
  - $C = \{\text{New Counter Init}\}$
- This means that **Counter** is a value
  - Class definition and object creation **are separated**
  - The class is an **abstract data type** with two basic operations: class definition and object definition
- In our earlier example, the function NewCounter combined both operations: defining the object behavior and creating the object
  - Most object-oriented languages separate the two operations to improve flexibility



# What is a class? (2)

- How do we represent a class as a value? A class is a **record** that groups the attributes and method definitions:

```
Counter=c(attrs:[a1] methods:m(init:Init inc:Inc get:Get))
```

- The function New takes the record, creates the attributes (cells), and creates the object (a procedure that calls the methods with the attributes):

```
fun {New Class Init}  
  S=(...) % S is the state (record containing attributes)  
  proc {Obj M} % Obj is a one-argument procedure  
    {Class.methods.{Label M} M S}  
  end  
in  
  {Obj Init} % Obj is initialized before it is returned  
  Obj  
end
```

- As an exercise, **read and understand Section 7.2.2 in the book**, which gives the full definition of New and shows how to create a class record.





# Polymorphism

- In everyday language, an entity is **polymorphic** if it can assume **different forms**
  - The Greek god Proteus is polymorphic; he is a shape-shifter able to assume many forms
- In computing, an operation is **polymorphic** if it works correctly for arguments of **different types**
  - For example, an object message is polymorphic if many different objects will accept it
- This ability is needed in order to properly apportion responsibility over different parts of a program
  - A single responsibility should not be spread out; it should rather be concentrated in one place if possible

# The responsibility principle



- Polymorphism allows to isolate responsibilities to the parts of the program that are concerned with them
  - A responsibility should be concentrated in **one part** of the program
- Example: a patient goes to see a medical doctor
  - The patient does not have to be a doctor!
  - The patient tells the doctor: “**cure me**”
  - The doctor understands this message and does the right thing (either cures the patient, or sends the patient to another doctor; we assume that eventually the right doctor is found!)
- The message “**cure me**” is **polymorphic**: it works with all medical specialties
  - All doctors understand the message “cure me”
  - The ability to cure a specific illness is concentrated in the doctor whose specialty covers that illness; we assume there is a mechanism to find the right doctor (for example, the generalist directs you to a specialist)

# Implementing polymorphism



- All data abstractions we have seen can support polymorphism
  - Both objects and ADTs support it
  - But it is **especially simple** for objects
    - This is one reason for objects' enormous success
  - In this course, we will only talk about object polymorphism
    - The book also explains ADT polymorphism, if you are curious
- The idea is simple: we define the **interface** that the program needs
  - Then the program can accept all abstractions with that interface



# Example: drawing of geometric figures

```
class Figure
  ...
end
class Circle
  attr x y r
  meth draw ... end
  ...
end
class Line
  attr x1 y1 x2 y2
  meth draw ... end
  ...
end
```

```
class CompoundFigure
  attr figlist
  meth draw
    for F in @figlist do
      {F draw}
    end
  end
  ...
end
```

This definition of **draw** in CompoundFigure works for all possible figures: circles, lines, and other CompoundFigures!

# Correctness of a polymorphic program

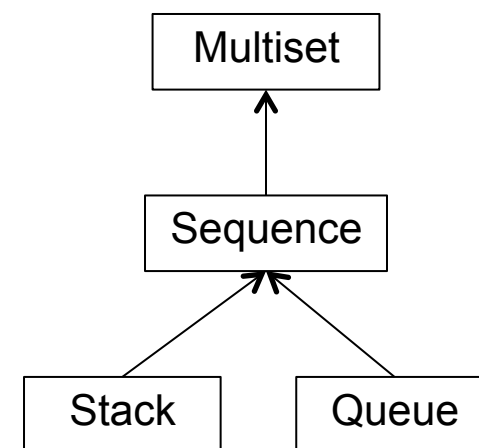


- When is a polymorphic program correct?
  - To be correct, each abstraction that the program accepts needs to satisfy **certain properties** (namely, those needed by the program)
  - For each abstraction, we need to **verify that its specification has those properties**
- For the figure drawing example, each draw method must correctly draw the object's figure
- For the doctor example, all doctors must cure the patient for their specialty
  - And for patients with another illness, the doctor must send the patient to a doctor better able to cure the illness (no cycles to avoid infinite loops!)



# Similar data abstractions

- Data abstractions are often very similar
  - Especially if the entities they represent are similar (such as “person” versus “employee”, “car part” versus “airplane part”, and so forth)
- A simple example is the concept “collection of elements”
  - **Multiset**: a collection with no defined order
  - **Sequence**: a multiset with a total order
    - Sequence = multiset + total order
  - **Stack**: a sequence where adding and removing are done on the same side
    - Stack = sequence + add/remove constraint
  - **Queue**: a sequence where adding is done on one side and removing on the other side
    - Queue = sequence + add/remove constraint
- Language support for similar data abstractions is important



# Incremental definition with inheritance



- It is important to avoid duplicated code in a program
  - Duplicated code is **problematic at two levels**
    - Different copies tend to diverge slightly with time (low-level bugs)
    - The same idea is expressed twice (high-level bugs)
  - It is much better, for program structure and maintenance, to express the same idea exactly once
- Inheritance achieves this for similar data abstractions
  - Definition A can “inherit” from definition B
  - This means that A uses B as a base, possibly with modifications and extensions
- The incremental definition A is also called a **class**
  - A class can either be a complete or incremental definition
  - The resulting definition (A + the classes it inherits from directly or indirectly) is always complete



# Dangers of inheritance

- Inheritance can be very useful, but its use is fraught with dangers
- The ability to extend A with inheritance is **another interface to A**
  - An additional interface to A's usual interface
  - This interface is extremely difficult to make correct and maintain correct throughout the lifetime of the abstraction
- So we must be very careful when using inheritance – two general rules:
  1. Prefer composition over inheritance
  2. When using inheritance, always follow the substitution principle



# (1) Prefer composition over inheritance

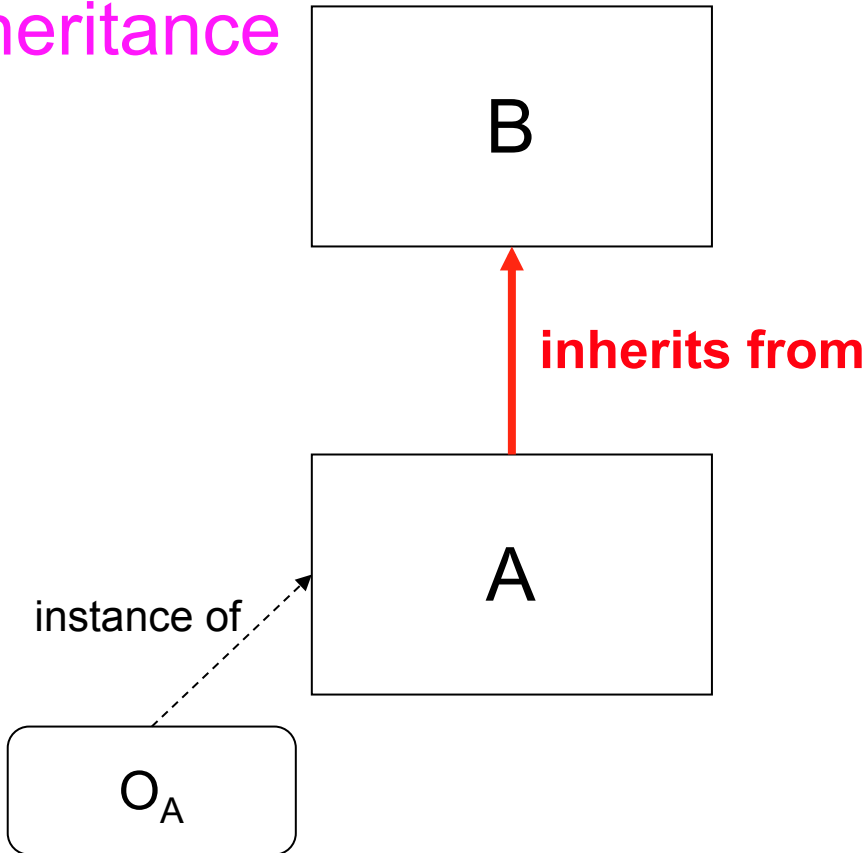


- It is important to use inheritance as little as possible
  - Only use it in well-defined ways, for example in well-established “programming patterns”
  - When defining a class, it should be declared “**final**” (not extensible by inheritance) by default
- Composition is much easier to use than inheritance and is often sufficient
  - **Composition = an object refers to another object in one of its attributes** (such as attribute figlist in CompoundFigure)
  - **Composition does not add another interface**: the object referred to is always accessed through its usual interface

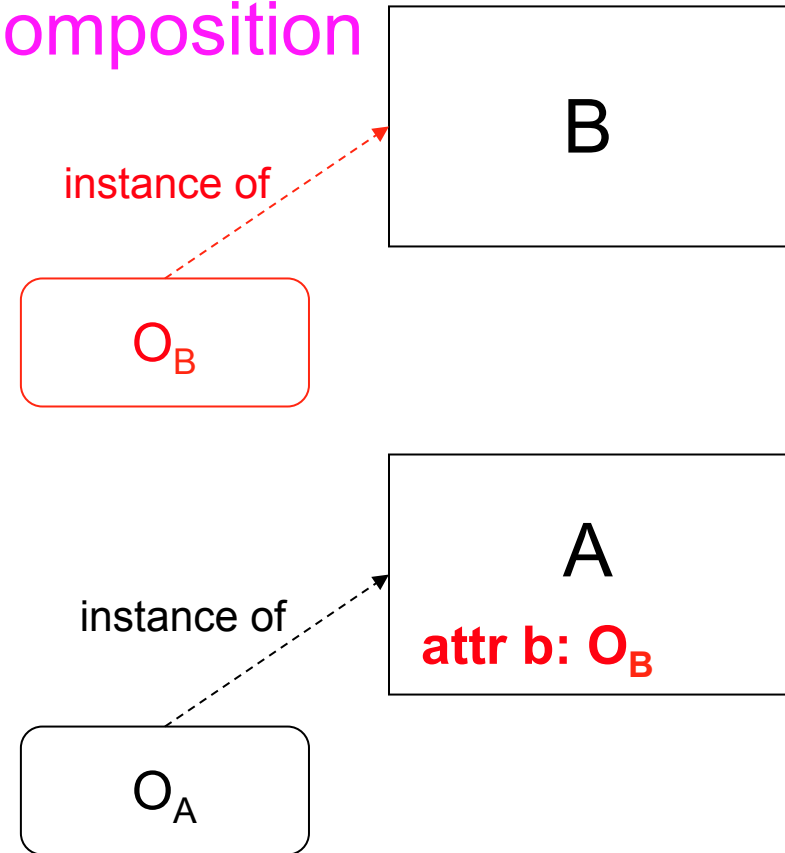
# Inheritance versus composition



## Inheritance



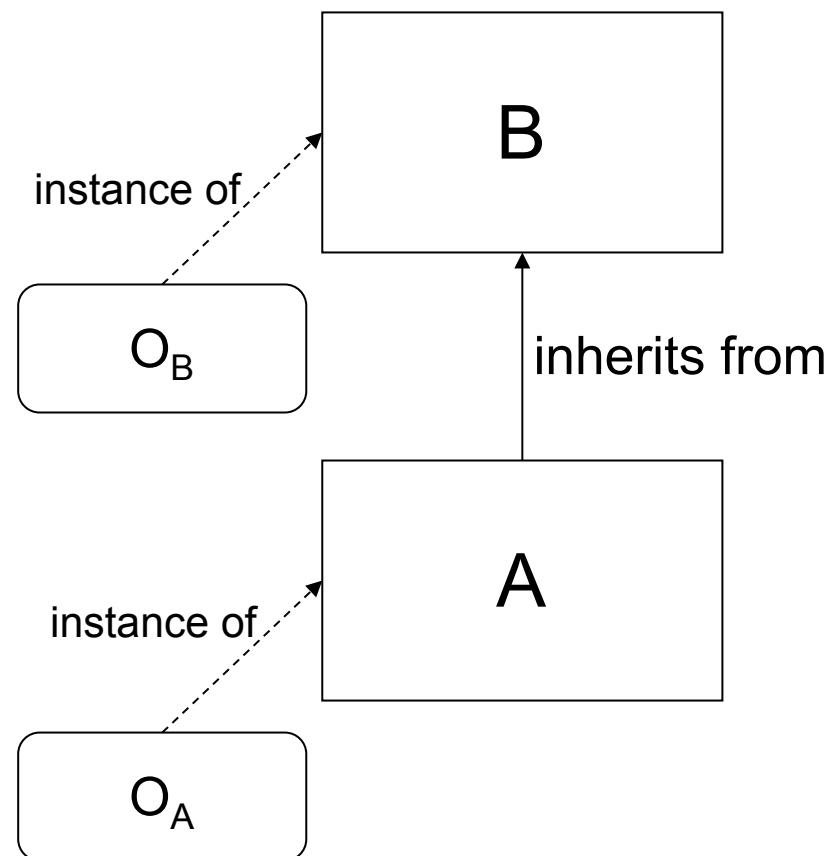
## Composition



## (2) Always follow the substitution principle



- The use of inheritance is much easier if the substitution principle is followed
- Suppose that A inherits from B with objects  $O_A$  et  $O_B$ 
  - Substitution principle: Every procedure that accepts  $O_B$  must accept  $O_A$
  - If this principle is followed, then inheritance does not break anything! We say that A is a conservative extension of B.
- This is also called LSP (Liskov Substitution Principle)



# Example: class Account



```
class Account
  attr balance:0
  meth transfer(Amount)
    balance := @balance+Amount
  end
  meth getBal(B)
    B=@balance
  end
end
A={New Account transfer(100)}
```

# Conservative extension



VerboseAccount:  
An account that displays  
all transactions

```
class VerboseAccount
  from Account
  meth verboseTransfer(Amount)
  ...
end
end
```

The class  
VerboseAccount  
has methods  
transfer, getBal and  
the new method  
verboseTransfer.

# Nonconservative extension



AccountWithFee:  
An account with a fee

```
class AccountWithFee
  from VerboseAccount
  attr fee:5
  meth transfer(Amount)
    ...
end
end
```

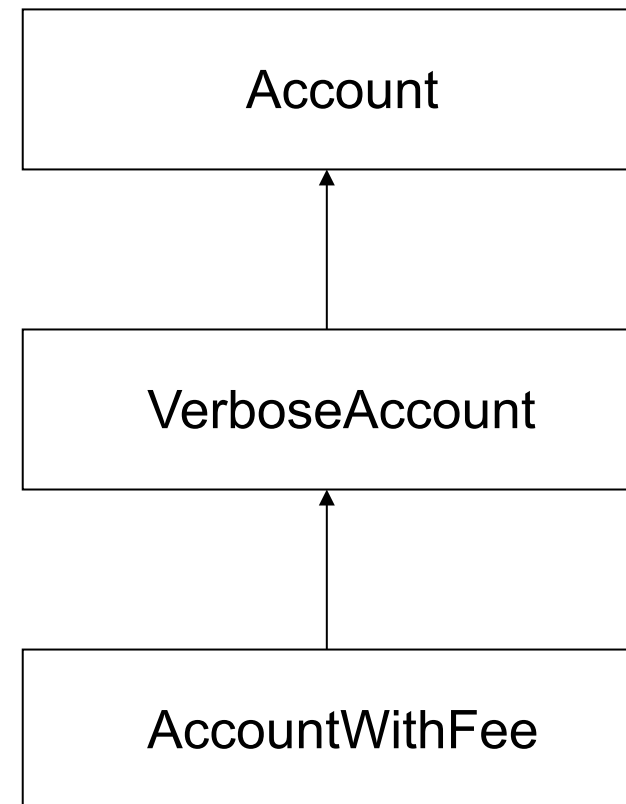
The class  
AccountWithFee  
has methods  
transfer, getBal and  
verboseTransfer.  
The transfer method  
has been overridden.



# Class hierarchy

```
class VerboseAccount
  from Account
  meth verboseTransfer(Amount)
  ...
end
end

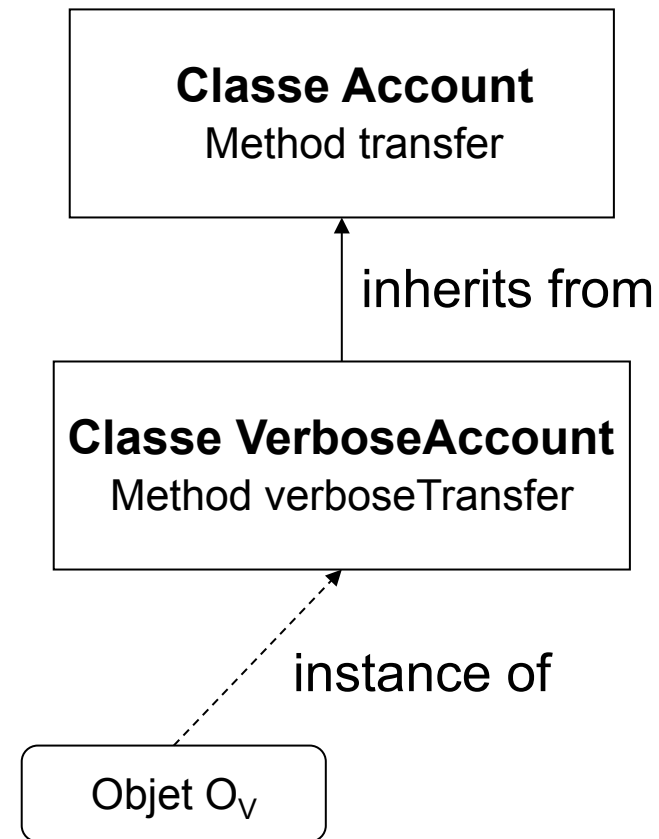
class AccountWithFee
  from VerboseAccount
  attr fee:5
  meth transfer(Amount)
  ...
end
end
```





# Dynamic link

- Let us define the new method verboseTransfer
- In the definition of verboseTransfer, we need to call transfer
- Syntax: {**self** transfer(A)}
  - The transfer method is chosen in the class of the calling object  $O_v$
  - **self** = the calling object, instance of VerboseAccount





# Definition of VerboseAccount



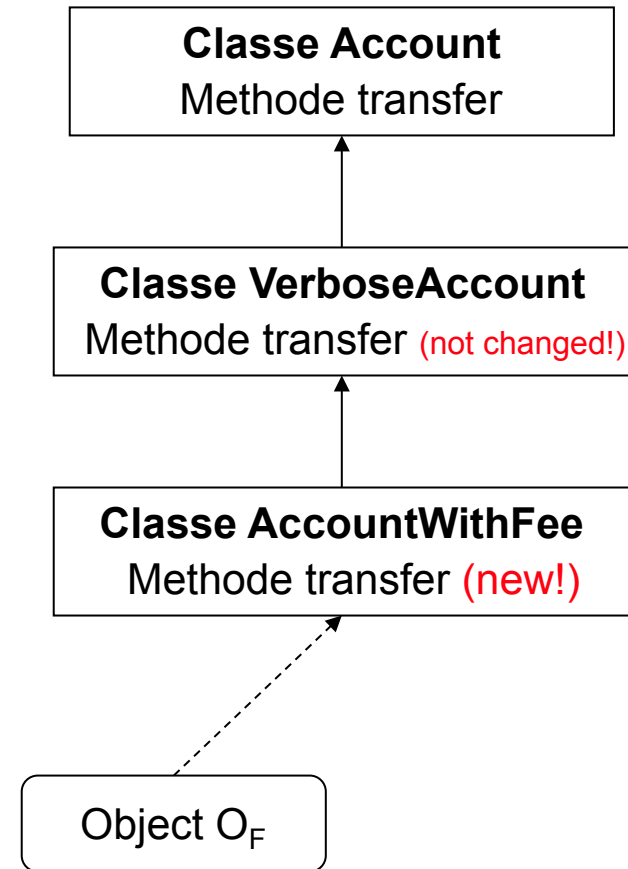
```
class VerboseAccount
  from Account
  meth verboseTransfer(Amount)
    {self transfer(Amount)}
    {Browse @balance}
  end
end
```

The class  
VerboseAccount  
has methods  
transfer, getBal and  
verboseTransfer.



# Static link

- Let us override the old transfer method in AccountWithFee
- In the **new** transfer method, we need to call the **old** method!
- Syntax:  
VerboseAccount,transfer(A)
  - The class containing the old definition has to be named!
  - The transfer method is taken from the class VerboseAccount



# Definition of AccountWithFee



```
class AccountWithFee
  from VerboseAccount
  attr fee:5
  meth transfer(Amt)
    VerboseAccount,transfer(Amt-@fee)
  end
end
```

The class  
AccountWithFee  
has methods  
transfer, getBal and  
verboseTransfer.  
The transfer method  
has been overridden.

# The magic of dynamic links



- Look at the following fragment:  
A={New AccountWithFee transfer(100)}  
{A verboseTransfer(200)}
- What does it do?
  - Which **transfer** method is called by **verboseTransfer**?
    - The old one or the new one?
  - Observe: when VerboseAccount was defined, the class AccountWithFee did not exist yet
- Answer: !!

# Example of a dynamic link



```
meth verboseTransfer(Amount)
  {self transfer(Amount)}
  {Browse @balance}
end
```

Call 1:

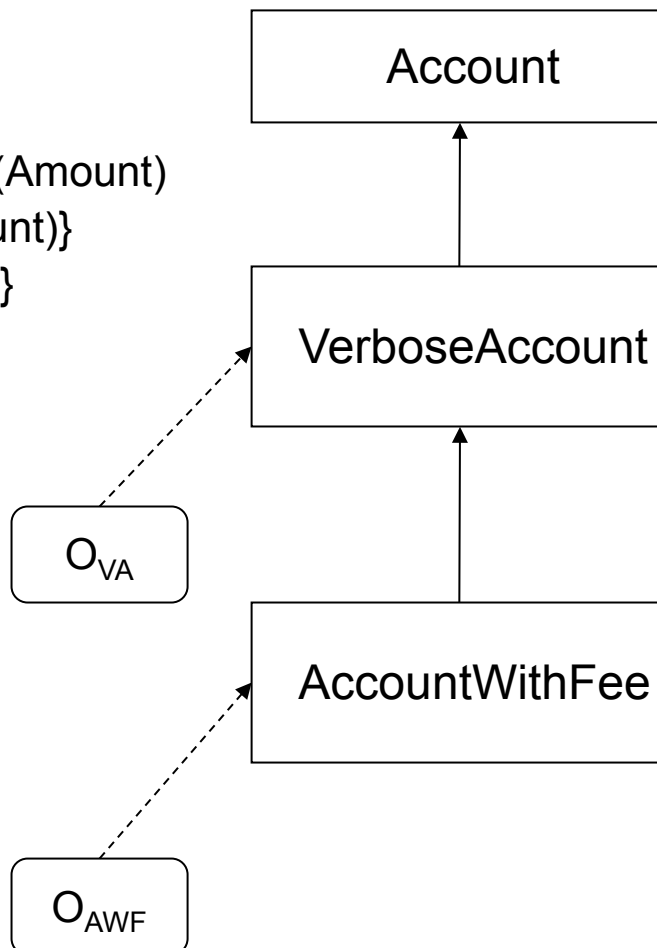
{O<sub>VA</sub> verboseTransfer(200)}

Which transfer method?

Call 2:

{O<sub>AWF</sub> verboseTransfer(200)}

Which transfer method?



getBal(B)  
transfer(Amt)

getBal(B)  
**transfer(Amt) % old definition**  
verboseTransfer(Amt)

getBal(B)  
**transfer(Amt) % new definition**  
verboseTransfer(Amt)

# Nonconservative extension



**Danger!**  
**The invariants**  
**are broken.**

```
class AccountWithFee
  from VerboseAccount
  attr fee:5
  meth transfer(Amt)
    VerboseAccount,transfer(Amt-@fee)
  end
end
```

Invariant:

{A getBal(B)}

{A transfer(S)}

{A getBal(B1)}

% Is  $B1=B+S$  ?

% **No! It's broken!**

# Summary of static and dynamic links



- The goal of static and dynamic links is to choose which method to execute
- **Dynamic link**: {**self** M}
  - The method is chosen in the class of the object
  - This class is only known during execution, this is why it is called a *dynamic* link
  - It should *always* be used **by default**
- **Static link**: SuperClass, M
  - The method is chosen in SuperClass
  - This class is known during compilation (it is SuperClass), this is why it is called a *static* link
  - It is *only* needed for **overriding** an existing method
  - When a method is overridden, the new definition often has to access the old one, and it uses a static link to do this

# Whither object-oriented programming?



- Data abstraction languages have an enormous literature
  - These two lessons have barely introduced the three main principles of data abstraction (objects and ADTs), polymorphism, and inheritance
- The principles of data abstraction are now well-established
  - OOP has traditionally focused on **sequential centralized programs**. It is now being extended to **long-lived distributed systems (« services »)**, with concurrency abstractions, fault tolerance, security, resource management, and configuration management (component-oriented programming).
  - Influential language developments are **Scala** (functional/object paradigms, message-passing concurrency) and **Erlang** (message passing with support for high availability), together with interesting experiments too numerous to mention
  - **Large-scale distributed programming**, including cloud-based big data and peer-to-peer computation, is pushing the limits of current data abstraction languages
- To meet these challenges, the structure of data abstraction languages will change significantly in the next two decades
  - Loose coupling, interoperability, distribution, and security will enter the language



# Static typing versus dynamic typing



- A major property of a language is whether it is statically or dynamically typed
- **Static typing**: Variable types are known at compile time
  - Java, Scala, Haskell
- **Dynamic typing**: Variable types are not known at compile time but only at run time
  - Ruby, Python, Erlang, Scheme, Oz (language of this course)
- Static typing versus dynamic typing?
  - This question evokes intense debate between language designers
  - The main issues are **guarantees and flexibility**
  - Java augments static typing with concepts to increase flexibility
    - An Object class that is the root of the class hierarchy
    - The ability to define class code at run time with a class loader



# Types in Java

- Two kinds of types: primitive types and reference types
  - User-defined types (e.g., classes) are reference types
- **Primitive type**: boolean (1 bit), character (16 bits), byte (8 bit integer, -128..127), short (16), int (32), long (64), float (32), double (64)
  - **Characters**: Unicode standard (all written languages)
  - **Integers**: representation in 2's complement
  - **Floating point**: IEEE754 standard
- **Reference type**: class, interface, or array
  - A value is either “null” or a reference to an object or an array
  - An array type has the form  $t[ ]$  where  $t$  can be any type

# Object-oriented programming in Java



- Data abstraction in Java
  - Primitive types are ADTs, user-defined types are objects
  - Rules of visibility
    - Private, package, protected, public
  - Objects of the same class can see inside each other (ADT property)
- Polymorphism in Java
  - **Static polymorphism**: Methods in the same class with the same name but different argument types (a.k.a. method overloading)
  - **Dynamic polymorphism**: Methods with the same name in different classes
- Inheritance in Java
  - Support for the **substitution principle**: an argument of a given class type will accept objects of any subclass
  - Support for multiple inheritance using a new concept called **interface** (a specific form of a general data abstraction interface)

# Functional programming in Java



- Not much support for functional paradigm
  - More support is being added as Java evolves (lambda expressions in Java 8, which are procedure values)
    - Problem of legacy code!
  - Scala has full support for functional paradigm
- **Final attributes and variables**: can only be assigned once
  - Objects can be immutable, but are not functional objects
- **Final classes**: cannot be extended with inheritance
- **“inner classes”**: a class defined inside another class
  - An instance of an inner class is almost (but not completely) a procedure value

# Java, multiple inheritance, and exceptions



- This lesson completes the discussion of data abstraction and object-oriented programming with presentations of Java, multiple inheritance, and exceptions
- **Java** is a popular object-oriented language that has much support for practical programmers
- **Multiple inheritance** is when a class inherits from more than one class
- **Exceptions** are an important concept in imperative languages for handling error conditions (both program errors and environment errors)



# Introduction to Java

- Java is the most-used language in the world today
  - Supported by libraries, tools, a high-quality implementation (the JVM) and a large developer community
  - But Java is >20 years old: there are many competitors, of which C++, Scala, and Erlang exemplify other parts of the language space
    - **C++**: closer to the processor architecture; older than Java
    - **Scala**: a more modern functional/object language built on the JVM
    - **Erlang**: a multi-agent language for highly available applications
- It is important to understand the execution of Java
  - Examples of Java semantics with the abstract machine
  - Java's support for object-oriented programming
  - Limitations of Java

# Two philosophies: Java versus C++



- Both Java and C++ implement an imperative paradigm supplemented with concurrency
  - (We will discuss concurrency in the next lesson)
  - **Structured programming**: a program is a set of nested blocks where each block has an entry and exit; there is no “goto” instruction in Java (but there is in C++)
  - **Imperative control**: if, switch, while, for, break, return, etc.
- Basic difference in design philosophy
  - C++ allows access to internal representation of data structures; memory management is manual
  - Java hides the internal representation; memory management is automatic (“garbage collection”)

# Example program in Java



```
class Fibonacci {  
    public static void main(String [] args) {  
        int lo=1;  
        int hi=1;  
        System.out.println(lo);  
        while (hi<50) {  
            System.out.println(hi);  
            hi=lo+hi;  
            lo=hi-lo;  
        }  
    }  
}
```

- All programs have a method `main` annotated `public static void`, executed when the program starts
- A **Java variable** (argument or local variable) is a **cell**
- Local variables must be initialized before use
- Integers are not objects but ADTs
- The method `println` is **overloaded** – there exist many methods with that name and the implementation chooses the right method according to the argument type (this is also called static polymorphism)





# public static void main(...)

- All methods can be given **modifiers**
- The `main` method has the following modifiers:
  - **public**: visible in the whole program (no restrictions)
  - **static**: there is one per class (not one per object)
  - **void**: the method returns no result (so it is a procedure, not a function)
- The `main` method has one argument
  - **String[]**: the argument's type, an array that contains String objects

# Java semantics with the abstract machine



- As for any language, it is important to understand precisely what the Java language does
  - We can define Java semantics with the abstract machine
  - Most (but not all) of the semantics is straightforward
- We give two examples to show how to give the semantics of Java concepts
  - Parameter passing
  - Static attributes in classes
- For a complete semantics of Java we recommend the book
  - *Java Precisely* by Peter Sestoft, MIT Press, 2005

# Parameter passing in Java



```
class ByValueExample {  
    public static void main(String[] args) {  
        double one=1.0;  
        System.out.println("before: one = " + one);  
        halveIt(one);  
        System.out.println("after:  one = " + one);  
    }  
    public static void halveIt(double arg) {  
        arg /= 2.0;  
    }  
}
```

- Parameter passing is an important part of a language that needs to be understood precisely
- This program calls `halveIt` with argument `one`: what does it print?

# Semantics of halvelt



```
public static void halveIt(double arg) {  
    arg = arg/2.0;  
}
```

```
proc {Halvelt X}  
    Arg={NewCell X}  
in  
    Arg := @Arg / 2.0  
end
```

- Here is how to write halveIt in Oz
  - This definition gives its semantics
  - This defines only the execution behavior, not the type checking
- The argument Arg is a **local cell**
  - The number is passed into the local cell
  - Assignments to Arg affect only the local cell, not the cell in the method main
- **The number is passed by value**

# Passing an object parameter



```
class Body {  
    public long idNum;  
    public String name = "<unnamed>";  
    public Body orbits = null;  
    private static long nextID = 0;  
  
    Body(String bName, Body orbArd) {  
        idNum = nextID++;  
        name = bName;  
        orbits = orbArd;  
    }  
}
```

```
class ByValueRef {  
    public static void main(String [] args) {  
        Body sirius = new Body("Sirius", null);  
        System.out.println("bef:"+sirius.name);  
        commonName(sirius);  
        System.out.println("aft:"+sirius.name);  
    }  
    public static void commonName(Body bRef) {  
        bRef.name = "Dog Star";  
        bRef = null;  
    }  
}
```

- The class Body has a **constructor** (the method Body) and a **static attribute** (the integer nextID)
- The program calls commonName with the object sirius
- The content of sirius is modified by commonName, but assigning bRef to null has no effect on sirius!

# Semantics of commonName



```
public static void commonName(Body bRef)
{
    bRef.name = "Dog Star";
    bRef = null;
}
```

```
proc {CommonName X}
    BRef={NewCell X}
in
    {@BRef setName("Dog Star")}
    BRef:=null
end
```

- Here is how to write commonName in Oz
- BRef is a local cell whose content is an object reference
- When CommonName is called, then BRef is initialized with a reference to the object Sirius
- **The object reference is passed by value**
  - Changes to the content of BRef do not affect the object Sirius

# The class Body and its static attribute



**declare**

**local** NextID Body **in**

NextID={NewCell 0}

**class** Body

**attr** idNum

name:"<unnamed>"

orbits:null

**meth** initBody(BName OrbArd)

idNum:=@NextID

NextID:=@NextID+1

name:=BName

orbits:=OrbArd

**end**

**end**

**end**

```
class Body {
    public long idNum;
    public String name = "<unnamed>";
    public Body orbits = null;
    private static long nextID = 0;

    Body(String bName, Body orbArd) {
        idNum = nextID++;
        name = bName;
        orbits = orbArd;
    }
}
```

- The definition of class Body in Oz gives its semantics
- NextID is a **static attribute**: a cell defined outside the class, at the same time as the class
  - Not like other attributes which are defined per object
- The constructor Body corresponds to method initBody



# Classes in Java

- A Java class has **fields** (attributes or methods), and **members** (other classes or interfaces)
- Java has syntax for static and dynamic links
  - The keyword “**super**” gives a static link to the class one level up (as we saw, it should be rarely used!)
  - The keyword “**this**” is used to mean “**self**”
- Java allows **single inheritance** of classes
  - A class can inherit from exactly one other class



# Inheritance example



```
class Point {  
    public double x, y;  
  
    public void clear() {  
        x=0.0;  
        y=0.0;  
    }  
}
```

```
class Pixel extends Point {  
    Color color;  
  
    public void clear() {  
        super.clear();  
        color=null;  
    }  
}
```



# The class Object

- The class Object is the **root** of the hierarchy
  - All classes inherit from Object

```
Object oref = new Pixel();  
oref = "Some String";  
oref = "Another String";
```

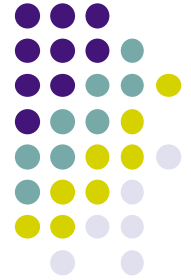
- The reference `oref` can refer to **any object**
  - We regain some of the flexibility of dynamic typing
  - (String objects are immutable)



# Abstract classes and concrete classes

- An **abstract class** is a class that does not implement all its methods (bodies are missing)
  - An abstract class cannot be instantiated
- A **concrete class** implements all its methods
  - A concrete class can inherit from an abstract class
  - A concrete class can be instantiated
- With abstract classes, we can write generic programs
  - We define the missing methods using inheritance, to get a concrete class that we can instantiate and execute

# Example of an abstract class



```
abstract class Benchmark {  
    abstract void benchmark();  
  
    public long repeat(int count) {  
        long start=System.currentTimeMillis();  
        for (int i=0; i<count; i++)  
            benchmark();  
        return (System.currentTimeMillis()-start);  
    }  
}
```

# Doing the same with a higher-order function



- We can achieve the same effect using a higher-order function:

```
fun {Repeat Count Benchmark}
  Start={OS.time}
in
  for I in 1..Count do {Benchmark} end
  {OS.time}-Start
end
```

- Function Repeat corresponds to method repeat
- Procedure argument Benchmark corresponds to method benchmark
- With abstract classes, we can achieve the same effect as passing a procedure as argument
  - We use inheritance to simulate a procedure argument



# Final classes

- A final class cannot be extended with inheritance

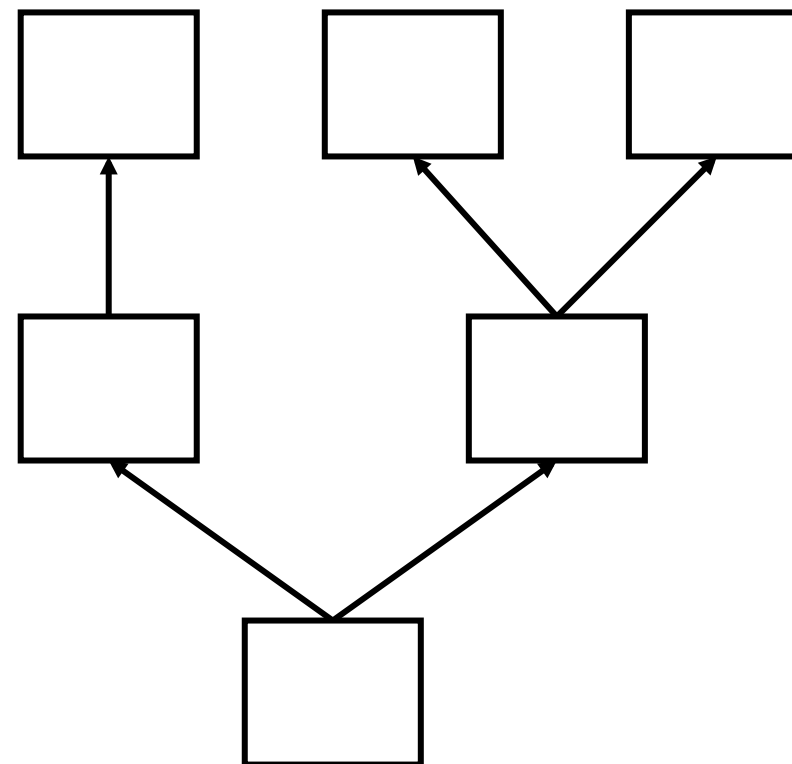
```
final class NotExtendable {  
    ...  
}
```

- A final method cannot be redefined with inheritance
- It is good practice to define all classes as final classes, except those we wish to be extensible
  - Is it a good idea to define an abstract class as final?

# The inheritance hierarchy



- We add an edge between each class and its direct superclasses
  - This gives a directed acyclic graph called the **inheritance hierarchy**
- We know how to define a class that inherits from one class (**single inheritance**), but how can a class inherit from more than one (**multiple inheritance**)?
  - Multiple inheritance is complicated but it can be a powerful tool
- We give a simple example; for much more see the book
  - *Object-oriented Software Construction* by Bertrand Meyer, Prentice-Hall, 1997





# Example of multiple inheritance

- Geometric figures**

```

class Figure
  meth draw ... end
...
end
class Line from Figure
  meth draw ... end
...
End

```
  - Linked lists**

```

class LinkedList
  meth forall(M)
    ... % invoke M on all elements
  end
...
end

```
  - Compound figures**

```

class CompoundFigure from
  Figure LinkedList
  meth draw
    {self forall(draw)}
  end
...
end

```
- A compound figure is *both* a figure and a linked list  
 Multiple inheritance works in this case because the two superclasses are *independent*





# Java interfaces and multiple inheritance

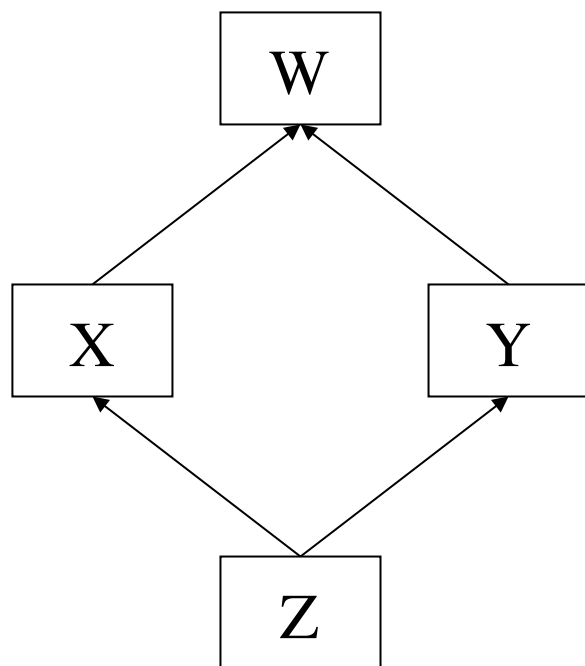
- Java only allows **single inheritance for classes**
  - Multiple inheritance is forbidden, but to keep some of its expressiveness, Java introduces the concept of interface
- An **interface** is similar to an abstract class with no method implementations
  - The interface gives the method names and their argument types, without the implementation
- Java allows **multiple inheritance for interfaces**

# Example of a Java interface



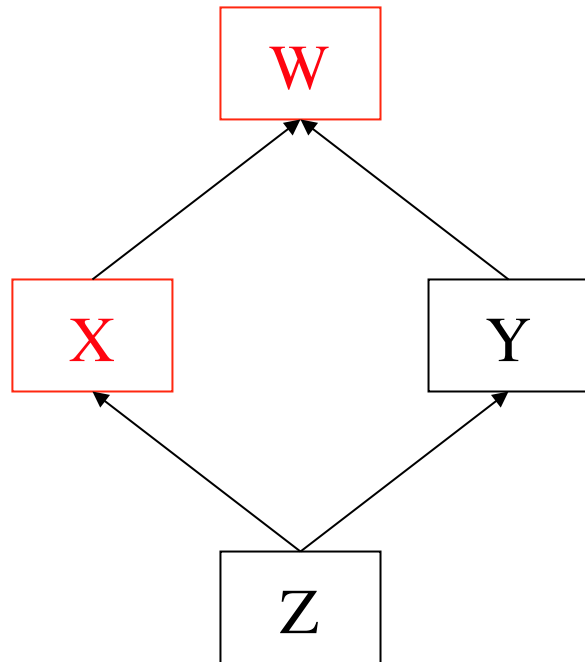
```
interface Lookup {  
    Object find(String name);  
}  
  
class SimpleLookup implements Lookup {  
    private String[] Names;  
    private Object[] Values;  
    public Object find(String name) {  
        for (int i=0; i<Names.length; i++) {  
            if (Names[i].equals(name))  
                return Values[i];  
        }  
        return null;  
    }  
}
```

# The diamond problem



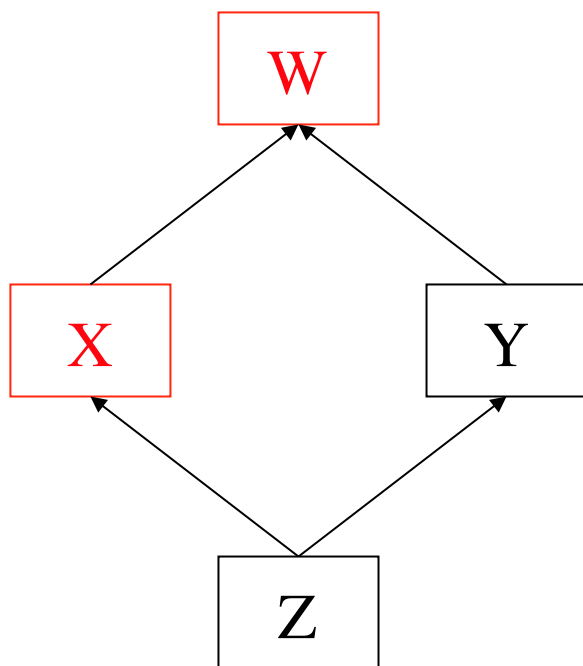
- The diamond problem is a classic problem with multiple inheritance
- When class W has state (attributes), who will initialise W? X or Y or both?
  - There is no simple solution
  - This is one reason why multiple inheritance is not allowed in Java
- Interfaces give a partial solution to this problem

# A solution with interfaces



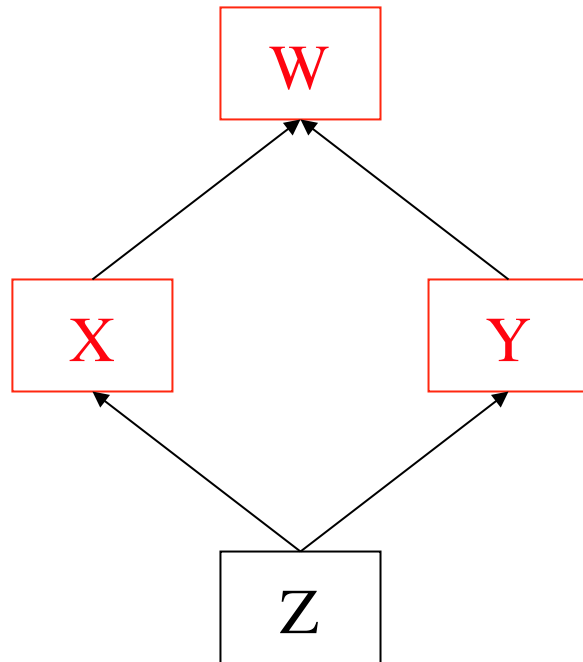
- Interfaces are given in **red**
- There is no more diamond inheritance: class Z only inherits from class Y
- For an interface, inheritance is just **a constraint on the method headers (names and arguments)** in the classes
  - Multiple inheritance means more constraints on the method headers
  - An interface contains no code; no code means no diamond problem

# Java syntax for the diamond example



```
interface W { }  
interface X extends W { }  
class Y implements W { }  
class Z extends Y  
    implements X { }
```

# Another solution for the same example



- In this solution, Z is the only class in the hierarchy
- It has the following syntax:

```
interface W { }  
interface X extends W { }  
interface Y extends W { }  
class Z implements X, Y { }
```

- Are there any other solutions for this example?

# Example using exceptions



```
fun {Eval E}
  if {IsNumber E} then E
  else
    case E
    of plus(X Y) then {Eval X}+{Eval Y}
    [] times(X Y) then {Eval X}*{Eval Y}
    else raise badExpression(E) end
    end
  end
end
```

- The error handling code does not clutter up the program

```
try
  {Browse {Eval plus(23 times(5 5))}}
  {Browse {Eval plus(23 minus(4 3))}}
catch X then {Browse X} end
```

# If we did not have exceptions...



```

fun {Eval E}
  if {IsNumber E} then E
  else
    case E
    of plus(X Y) then R={Eval X} in
      case R of badExpression(RE) then badExpression(RE)
      else R2={Eval Y} in
        case R2 of badExpression(RE) then badExpression(RE)
        else R+R2
        end
      end
    [] times(X Y) then
      % ... Same code as plus
    else badExpression(E)
    end
  end
end
end

```

- Much more code!
  - In this example, 22 lines instead of 10 (more than double)
- The code is much more complicated because of all the **case** statements handling badExpression





# The “finally” clause

- The **try** has an additional **finally** clause, for an operation that must always be executed (in both the correct and error cases):

```
FH={OpenFile “foobar”}
```

```
try
```

```
    {ProcessFile FH}
```

```
catch X then
```

```
    {Show “*** Exception during execution ***”}
```

```
finally {CloseFile FH} end % Always close the file
```

# How to handle exceptional situations



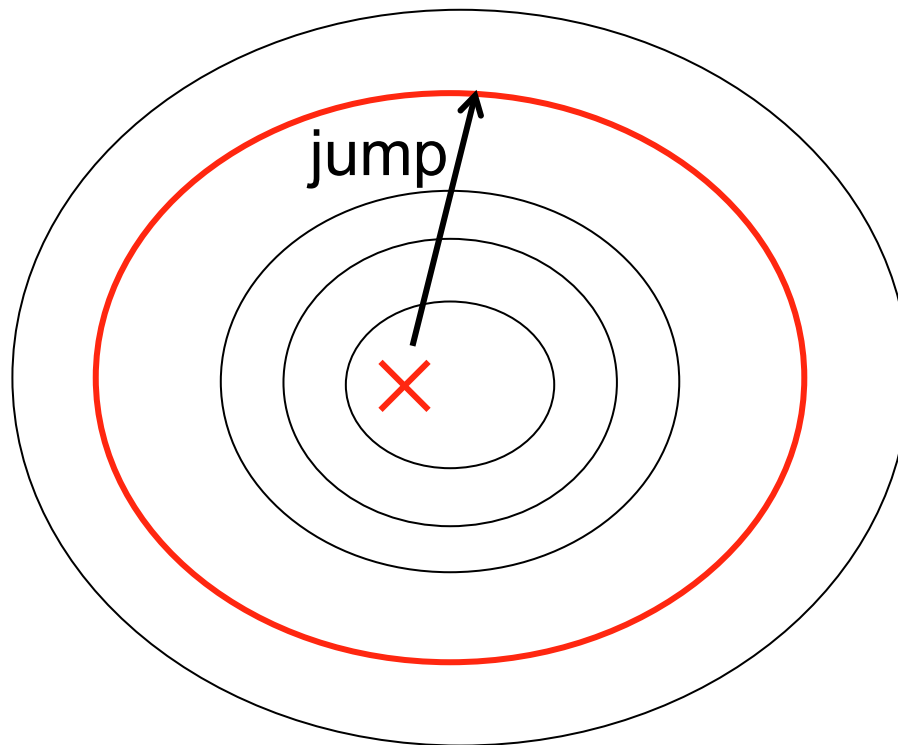
- How can we handle exceptional situations in a program?
  - Such as: division by 0, opening a nonexistent file, and so forth
  - Program errors but also errors from outside the program
  - Things that happen rarely but that must be taken care of
- We add a **new programming concept** called **exceptions**
  - We define exceptions and show how they are used
  - We give the semantics of exceptions in the abstract machine
- With exceptions, we can handle exceptional situations without cluttering up the program with rarely used error checking code



# The containment principle

- When an error occurs, we would like to be able to recover from the error
- Furthermore, we would like the error to affect as little as possible of the program
- We propose **the containment principle**:
  - A program is a set of **nested execution contexts**
  - An error will occur **inside** an execution context
  - A recovery routine (exception handler) exists at the boundary of an execution context, to make sure the error **does not propagate** to higher execution contexts

# Handling an exception



✗ An error that raises an exception

○ An execution context

○ The execution context that catches the exception

- An executing program that encounters an error must jump to another part (the exception handler) and give it a reference (the exception) that describes the error

# The try and raise instructions

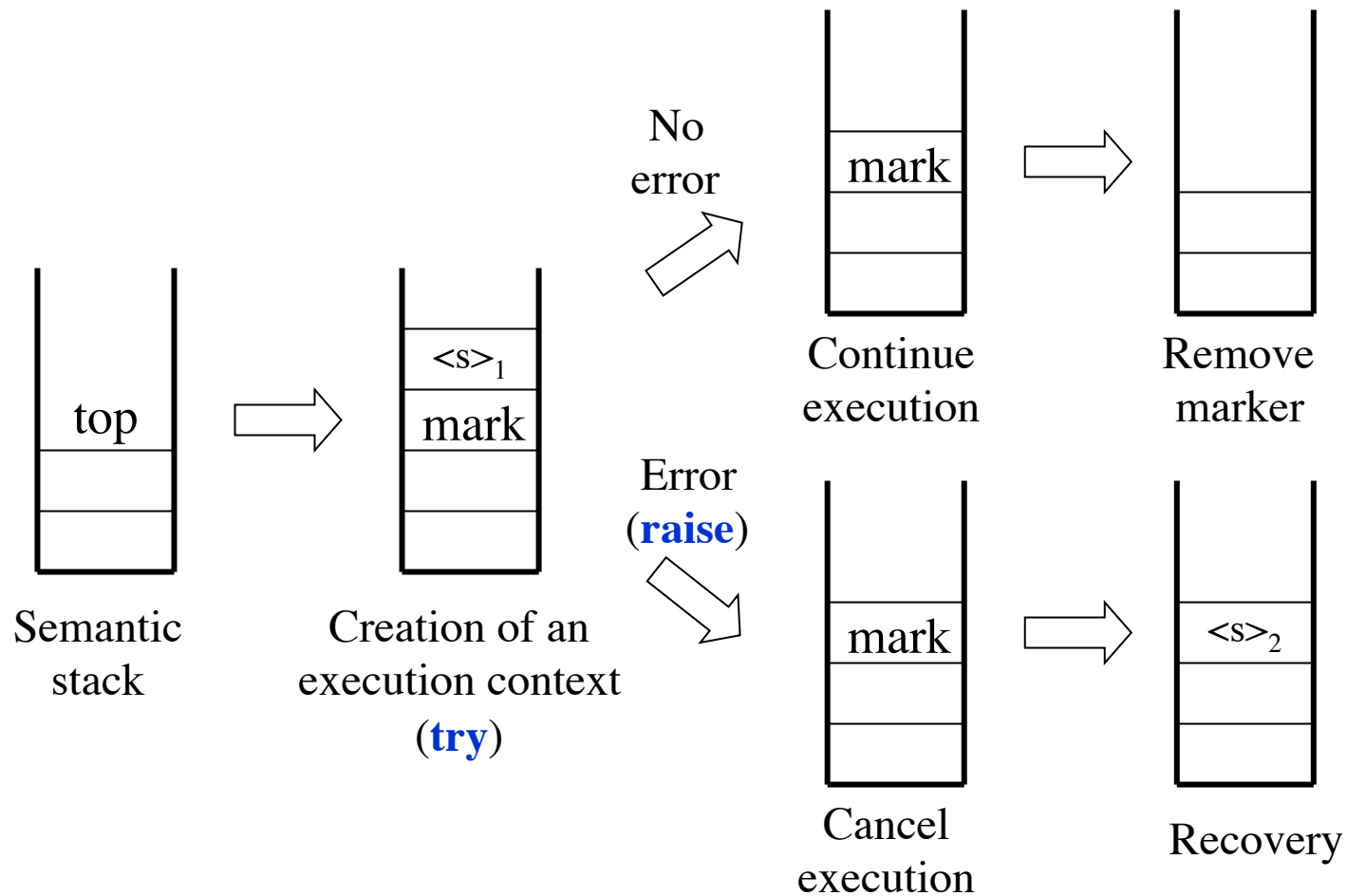


- We introduce two new instructions for handling exceptions:

```
try <s>1 catch <y> then <s>2 end % Create an execution context
raise <x> end % Raise an exception
```

- With the following behavior:
  - **try** puts a “marker” on the stack and starts executing <s><sub>1</sub>
  - If there is no error, <s><sub>1</sub> executes normally and removes the marker when it terminates
  - **raise** is executed when there is an error, which empties the stack up to the marker (the rest of <s><sub>1</sub> is therefore canceled)
    - Then <s><sub>2</sub> is executed
    - <y> refers to the same variable as <x>
    - The scope of <y> exactly covers <s><sub>2</sub>

# Semantics of exceptions

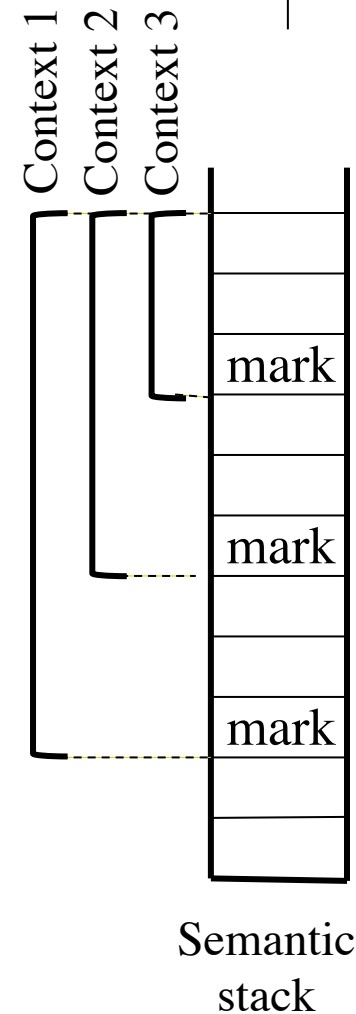


# An execution context

- An **execution context** is the part of the semantic stack that starts with a marker and continues to the stack top:

```

try ... % Context 1
[ try ... % Context 2
  [ try ... % Context 3
    [ catch <x> then <s>3 end
    ...
  ] catch <x> then <s>2 end
  ...
] catch <x> then <s>1 end
  
```

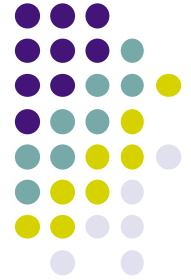




# Exceptions in Java

- An exception is an object that inherits from the class Exception (which is a subclass of Throwable)
- There are two kinds of exceptions
  - **Checked exceptions**: The compiler verifies that all methods only throw the exceptions declared for the class
  - **Unchecked exceptions**: Some exceptions can arrive without the compiler being able to verify them. They inherit from RuntimeException and Error.
- For exceptions that the program itself defines, you should **always use checked exceptions**, since they are declared and therefore part of the program's interface

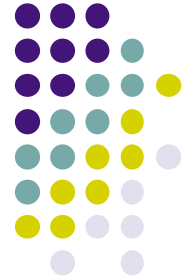




# Java exception syntax

```
throw new NoSuchElementException(name) ;
```

```
try {  
    <stmt>  
} catch (exctype1 id1) {  
    <stmt>  
} catch (exctype2 id2) {  
    ...  
} finally {  
    <stmt>  
}
```



# Good style

- We read a file and perform an action for each item in the file:

```
try
    while (!stream.eof())
        process(stream.nextToken());
finally
    stream.close();
```



# Bad style

- We can use the exception handler to change the execution order **during normal execution**:

```
try {  
    for (;;)   
        process (stream.next());  
} catch (StreamEndException e) {  
    stream.close();  
}
```

- Reaching the end of a stream is completely **normal**, it is not an error. What happens if a **real error** happens and is mixed in with the normal operation? You don't want to handle this. Normal operation should be kept separate from errors!



# Final remarks

- This completes the part of the course related to data abstraction
  - Explicit state and object-oriented programming
  - Java, multiple inheritance, and exceptions
- We have covered **three of the four themes**
  - **Functional programming** (including recursion, invariant programming, and higher-order programming)
  - **Language semantics** (a complete operational semantics)
  - **Data abstraction** (including explicit state and object-oriented programming)
- We end this theme with a reflection on language design and an introduction to concurrent programming

# Java, Scala, and language design



- We have discussed some of the principles that were used to design Java (1990s)
  - True data abstraction (encapsulation, GC)
  - Almost all entities are objects
  - Support for object-oriented design
- Scala has added two principles to this (2000s)
  - Strict separation between mutable/immutable
  - Everything is an object (including functions)
- These principles considerably increase Scala's expressive power compared to Java
  - We consider that Scala is a worthy successor to Java

# Final theme: concurrency



- The final theme of the course will be concurrency
  - Multiple activities that evolve independently and collaborate
  - There are three fundamental forms of concurrent programming: **deterministic dataflow**, **message passing**, and **shared state**
  - All three were invented (or discovered?) in the early 1970s!
- We will present **deterministic dataflow in depth**
  - It is an extremely powerful yet easy to use model that deserves to be more widely known
  - **All the techniques of functional programming** generalize for deterministic dataflow

# *Many* important ideas



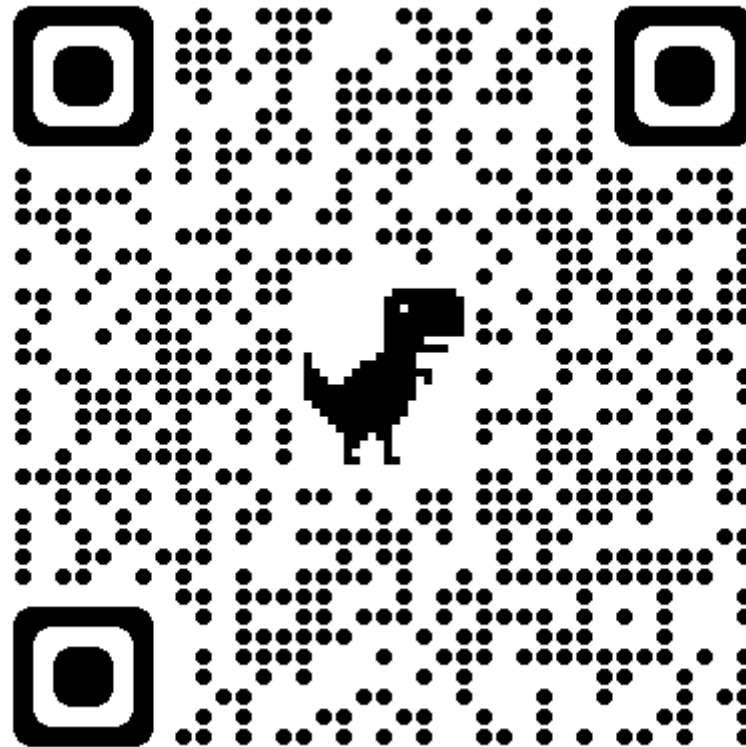
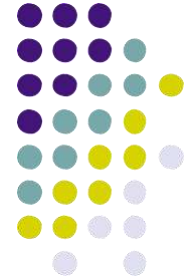
## Louv1.1x

- Identifiers and environments
- Functional programming
- Recursion
- Invariant programming
- Lists, trees, and records
- Symbolic programming
- Instantiation
- Genericity
- Higher-order programming
- Complexity and Big-O notation
- Moore's Law
- NP and NP-complete problems
- Kernel languages
- Abstract machines
- Mathematical semantics

## Louv1.2x

- Explicit state
- Data abstraction
- Abstract data types and objects
- Polymorphism
- Inheritance
- Multiple inheritance
- Object-oriented programming
- Exception handling
- Concurrency
- Nondeterminism
- Scheduling and fairness
- Dataflow synchronization
- Deterministic dataflow
- Agents and streams
- Multi-agent programming

# PLP\_Drive space



<https://drive.google.com/drive/folders/1YBCIZzAldeiT19DIfDiREQwP-NAQ1qMN>